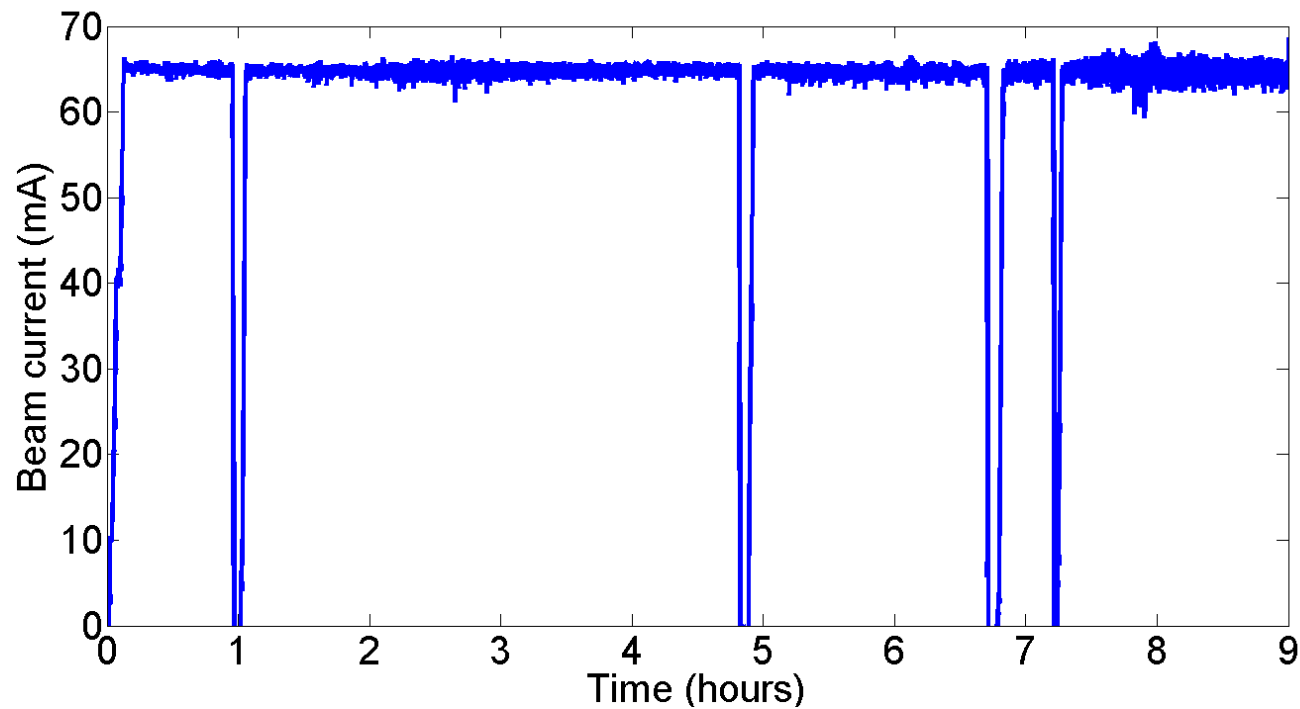
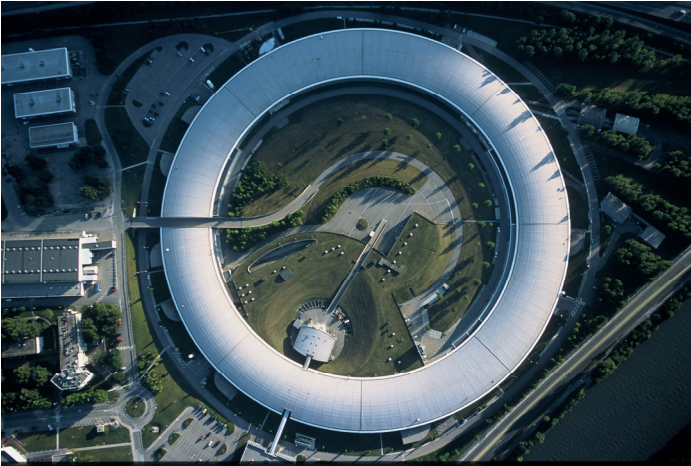


# Recent advances in Energy Recovery Linacs



# Existing High-Energy, High-Current machines

ESRF - France (1994 - )  
844 m, 6 GeV, 200 mA



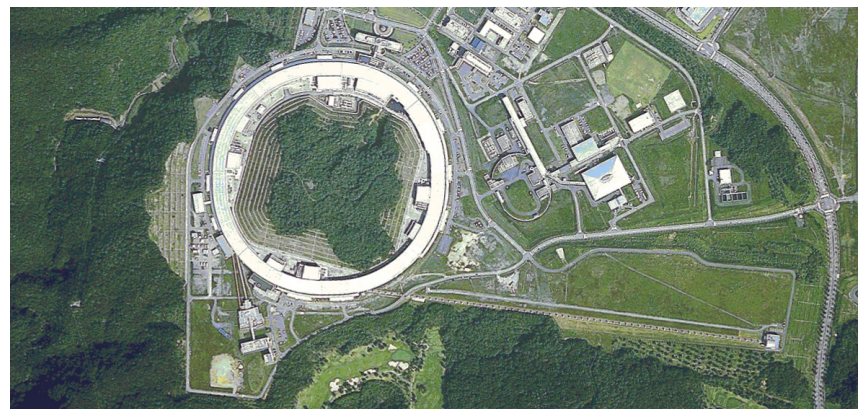
Petra III - Germany (2009 - )  
2304 m, 6 GeV, 100 mA



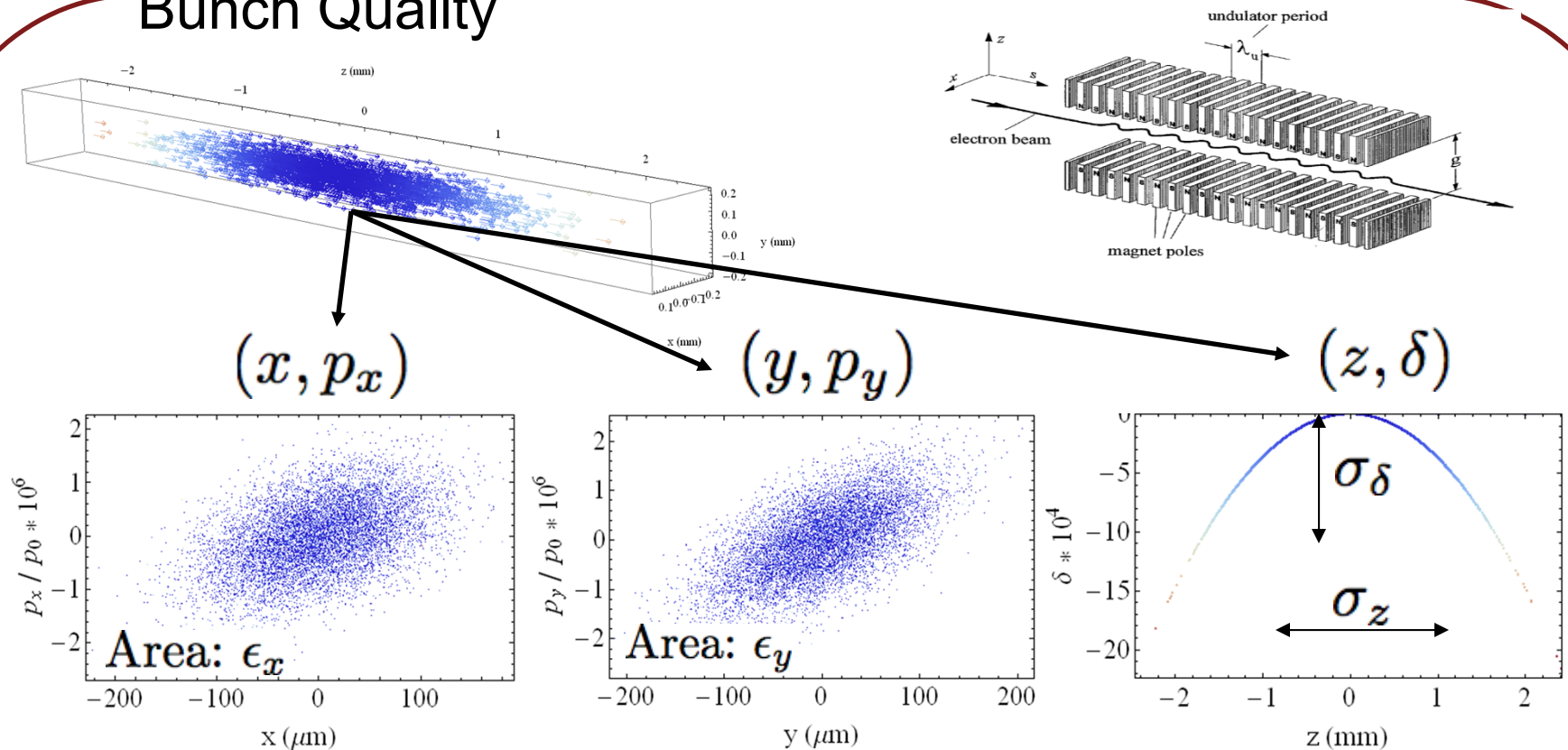
APS - United States (1996 - )  
1104 m, 7 GeV, 100 mA



SPring8 - Japan (1997 - )  
1438 m, 8 GeV, 100 mA



# Bunch Quality



## Lightsource

Flux  $\mathcal{F} \propto I_{\text{av}} \cdot N_u \cdot f_n (\lambda_u, B_u)$

Brilliance  $\mathcal{B} \propto \frac{I_{\text{av}}}{\epsilon_x \epsilon_y}$

Peak Brilliance  $\hat{\mathcal{B}} \propto \frac{I_{\text{av}}}{\epsilon_x \epsilon_y \sigma_z \sigma_\delta}$

## Collider

Luminosity  $\mathcal{L} \propto \frac{I_{\text{av}}}{\sigma_x \sigma_y}$

$$\propto \frac{I_{\text{av}}}{\sqrt{\beta_x \epsilon_x \beta_y \epsilon_y}}$$



# High-Energy, High-Quality machines: Linacs

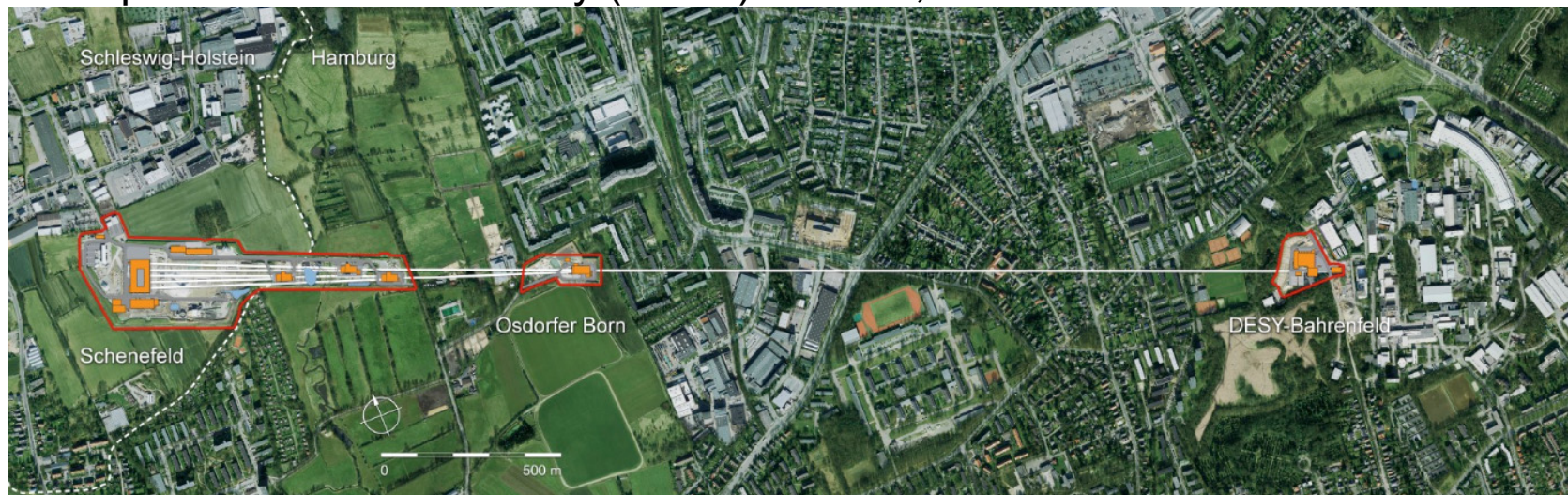
LCLS - United States (2009-)  
3000 m, 14.3 GeV  
Planning: LCLS2 4 GeV SRF



SACLA – Japan (2011-)  
750 m, 6-8 GeV



European X-FEL – Germany (2015-) 3500 m, 17.5 GeV





# But not High-Current, because

$$P_{\text{linac}} = 1 \text{ MW} \left( \frac{I_{\text{av}}}{\text{mA}} \right) \left( \frac{\Delta \mathcal{E}}{\text{GeV}} \right)$$



[Nine Mile Point Nuclear Power Plant, Oswego, NY]

## Storage Ring

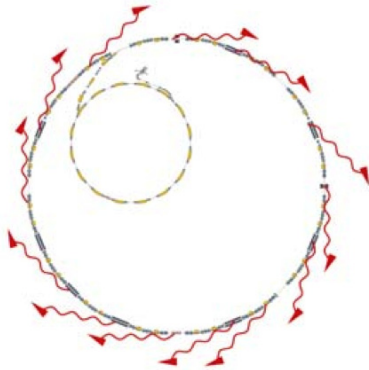
High repetition rates (100+ MHz)  
High current (100+ mA)

Many user stations

Fixed energy spread (  $10^{-3}$  relative)

Bunch durations (20 ps)

Emittance determined by the ring



## Linac

Low repetition rates (120 Hz, 17 kHz)

Low average current (  $10^{-4}$  mA)  
(but very high peak current)

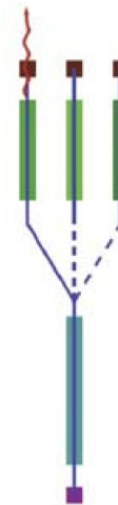
Few user stations

Excellent energy spread (  $10^{-4}$  relative )

Drive FELs

Short bunch durations (0.01 – 2 ps)

Emittance determined by the source





# Energy Recovery Linac

## Storage Ring

**High repetition rates (1000 MHz)**

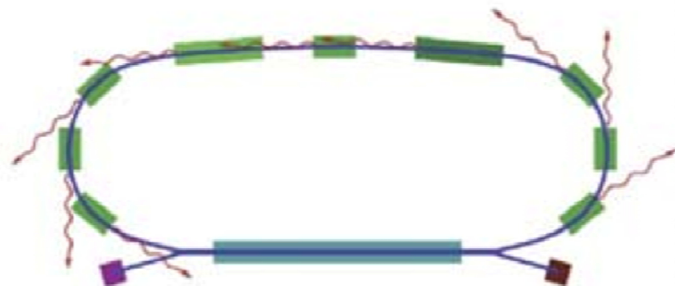
**High current (100+ mA)**

**Many user stations**

Fixed energy spread (  $10^{-3}$  relative)

Bunch durations (20 ps)

Emittance determined by the ring



**Flexible optics**  
**Flexible bunch**  
**structure**

## Linac

Low repetition rates (120 Hz, 1 MHz)

Low average current (  $10^{-4}$  mA)

**(but very high peak current)**

Few user stations

**Excellent energy spread (  $10^{-4}$  relative )**

**Drive XFEL-Os**

**Short bunch durations (0.1 – 2 ps)**

**Emittance determined by the**

**Can also be**  
**operated as linac**

# ERL Concept (1965)

## A Possible Apparatus for Electron Clashing-Beam Experiments (\*).

M. TIGNER

*Laboratory of Nuclear Studies, Cornell University - Ithaca, N. Y.*

(ricevuto il 2 Febbraio 1965)

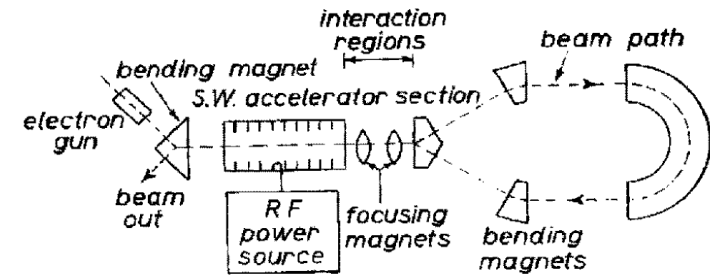
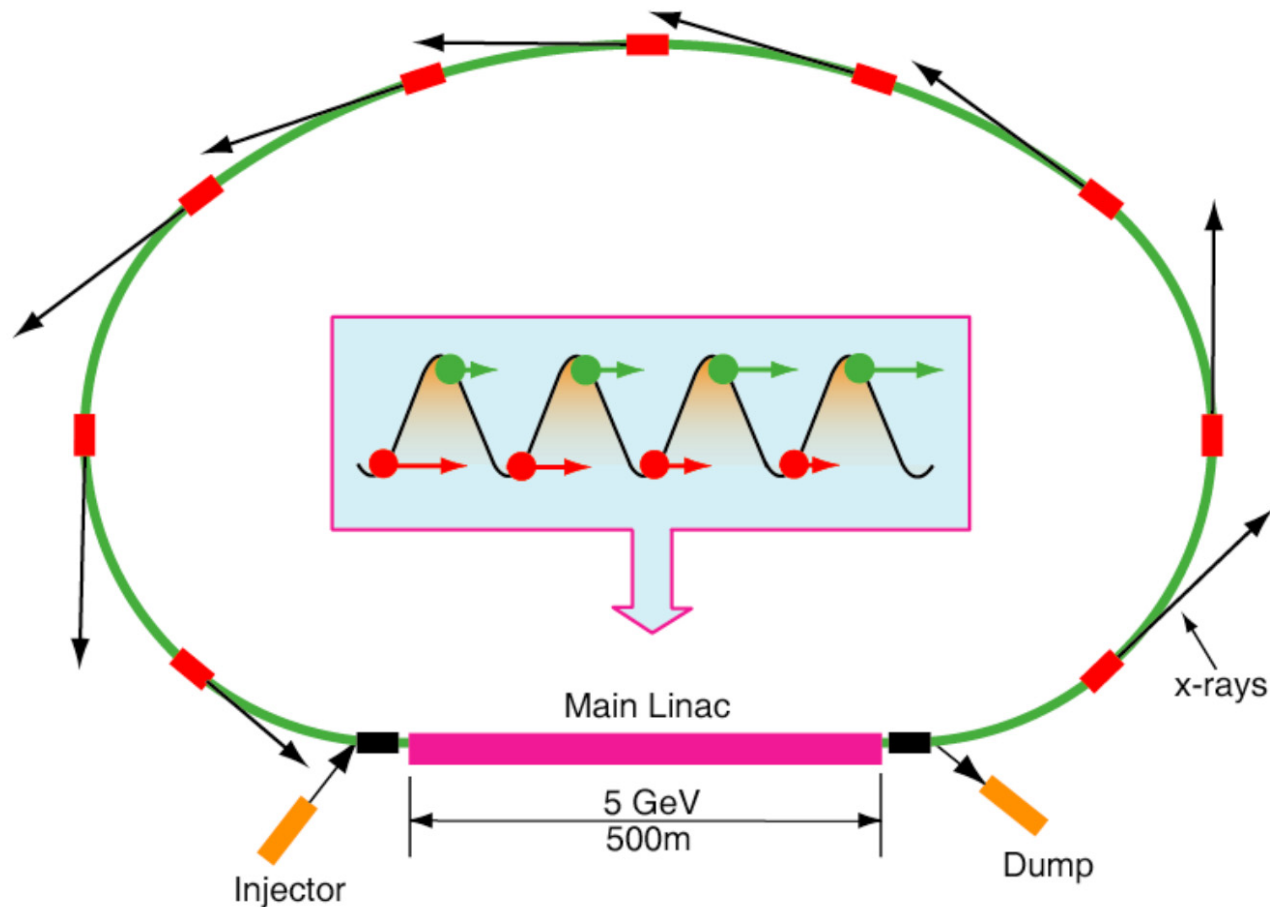


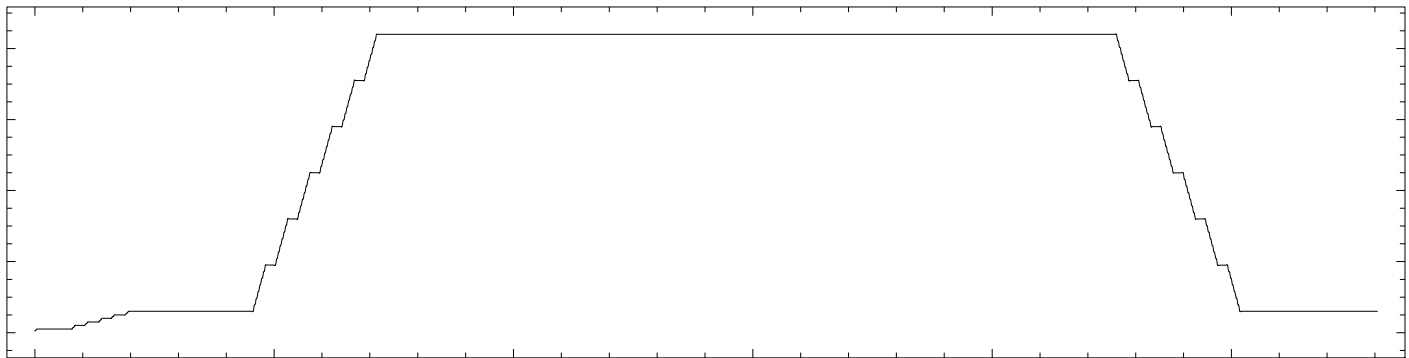
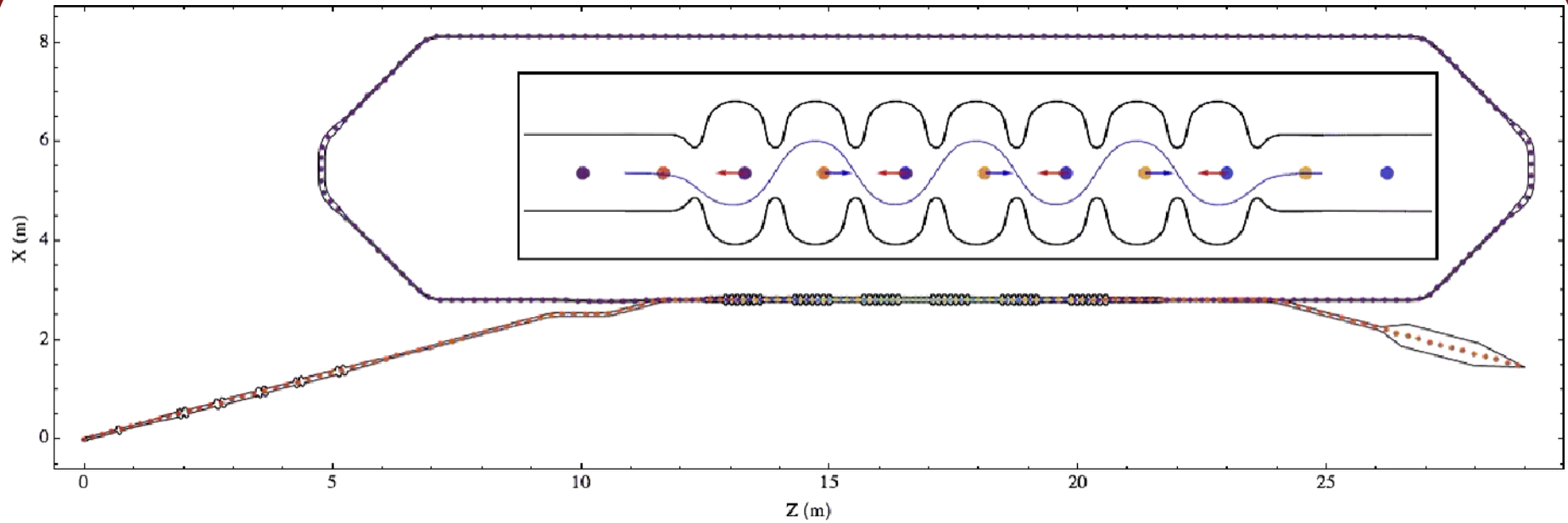
Fig. 3.

## Cornell ERL Study (2001)





# ERL Concept



( )

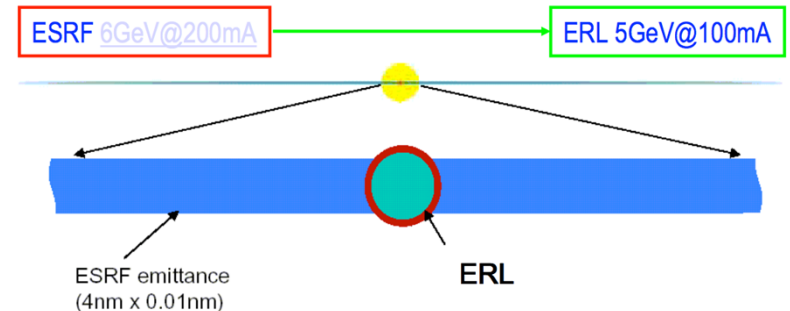
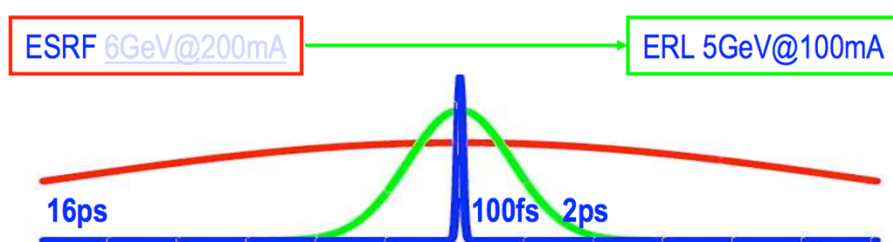
[http://www.lepp.cornell.edu/~cem52/Movies/ERL\\_animation.mov](http://www.lepp.cornell.edu/~cem52/Movies/ERL_animation.mov)

# Baseline ERL Lightsource parameters

|                        | Energy recovered modes |                  |                    | One pass           |           |
|------------------------|------------------------|------------------|--------------------|--------------------|-----------|
| Modes:                 | (A)<br>Flux            | (B)<br>Coherence | (C)<br>Short-Pulse | (D)<br>High charge | Units     |
| Energy                 | 5                      | 5                | 5                  | 5                  | GeV       |
| Current                | 100                    | 25               | 100                | 0.1                | mA        |
| Bunch charge           | 77                     | 19               | 77                 | 1000               | pC        |
| Repetition rate        | 1300                   | 1300             | 1300               | 0.1                | MHz       |
| Norm. emittance        | 0.3                    | 0.08             | 1                  | 5.0                | mm mrad   |
| Geom. emittance        | 31                     | 8.2              | 103                | 1022               | pm        |
| Rms bunch length       | 2000                   | 2000             | 100                | 50                 | fs        |
| Relative energy spread | 0.2                    | 0.2              | 1                  | 3                  | $10^{-3}$ |
| Beam power             | 500                    | 125              | 500                | 0.5                | MW        |

# Advantages of ERL Beams

| Name                 | Energy (GeV) | Current (mA) | Emittance (pm) | Duration (ps) |
|----------------------|--------------|--------------|----------------|---------------|
| APS                  | 6            | 100          | 3100           | 34            |
| ESRF                 | 6            | 200          | 4000           | 13            |
| SPring-8             | 8            | 100          | 2800           | 17            |
| APS MBA Upgrade      | 6            | 200          | 50             | 50            |
| ESRF MBA Upgrade     | 6            | 200          | 160            | 11            |
| SPring-8 MBA Upgrade | 6            | 100          | 110            | 5             |
| CERL mode A          | 5            | 100          | 31             | 2             |
| mode B               | 5            | 25           | 8              | 2             |
| mode C               | 5            | 1            | 511            | 0.1           |





# First successful ERLs

VOLUME 84, NUMBER 4

PHYSICAL REVIEW LETTERS

24 JANUARY 2000

## **Sustained Kilowatt Lasing in a Free-Electron Laser with Same-Cell Energy Recovery**

G.R. Neil,\* C.L. Bohn, S.V. Benson, G. Biallas, D. Douglas, H.F. Dylla, R. Evans, J. Fugitt, A. Grippo, J. Gubeli, R. Hill, K. Jordan, R. Li, L. Merminga, P. Piot, J. Preble, M. Shinn, T. Siggins, R. Walker, and B. Yunn

*Thomas Jefferson National Accelerator Facility, Newport News, Virginia 23606*

(Received 3 September 1999)

ELSEVIER

Nuclear Instruments and Methods in Physics Research A 557 (2006) 23–27

Section A

[www.elsevier.com/locate/nima](http://www.elsevier.com/locate/nima)

## **Status of the Novosibirsk energy recovery linac**

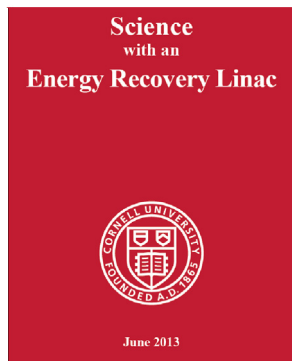
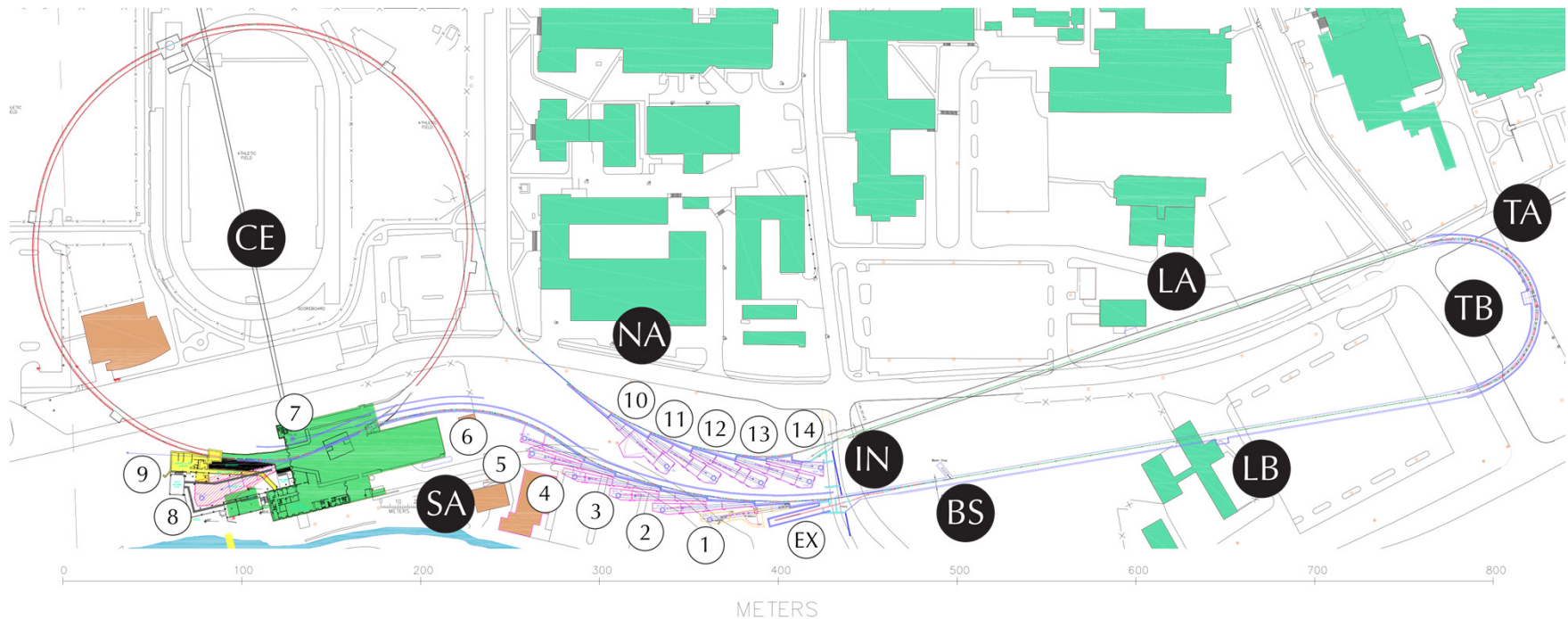
V.P. Bolotin, N.A. Vinokurov\*, N.G. Gavrilov, D.A. Kayran, B.A. Knyazev, E.I. Kolobanov, V.V. Kotenkov, V.V. Kubarev, G.N. Kulipanov, A.N. Matveenko, L.E. Medvedev, S.V. Miginsky, L.A. Mironenko, A.D. Oreshkov, V.K. Ovchar, V.M. Popik, T.V. Salikova, S.S. Serednyakov, A.N. Skrinsky, O.A. Shevchenko, M.A. Scheglov, V.G. Tcheskidov

*Budker Institute of Nuclear Physics, 11 Lavrentyev Prospect, Novosibirsk 630090, Russia*

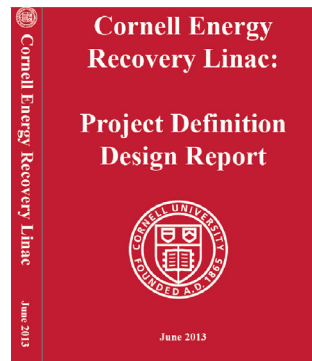
Available online 15 November 2005

# **Large ERL Facilities**

# ERL lightsources: Cornell ERL



Science case gathered  
in international  
workshops



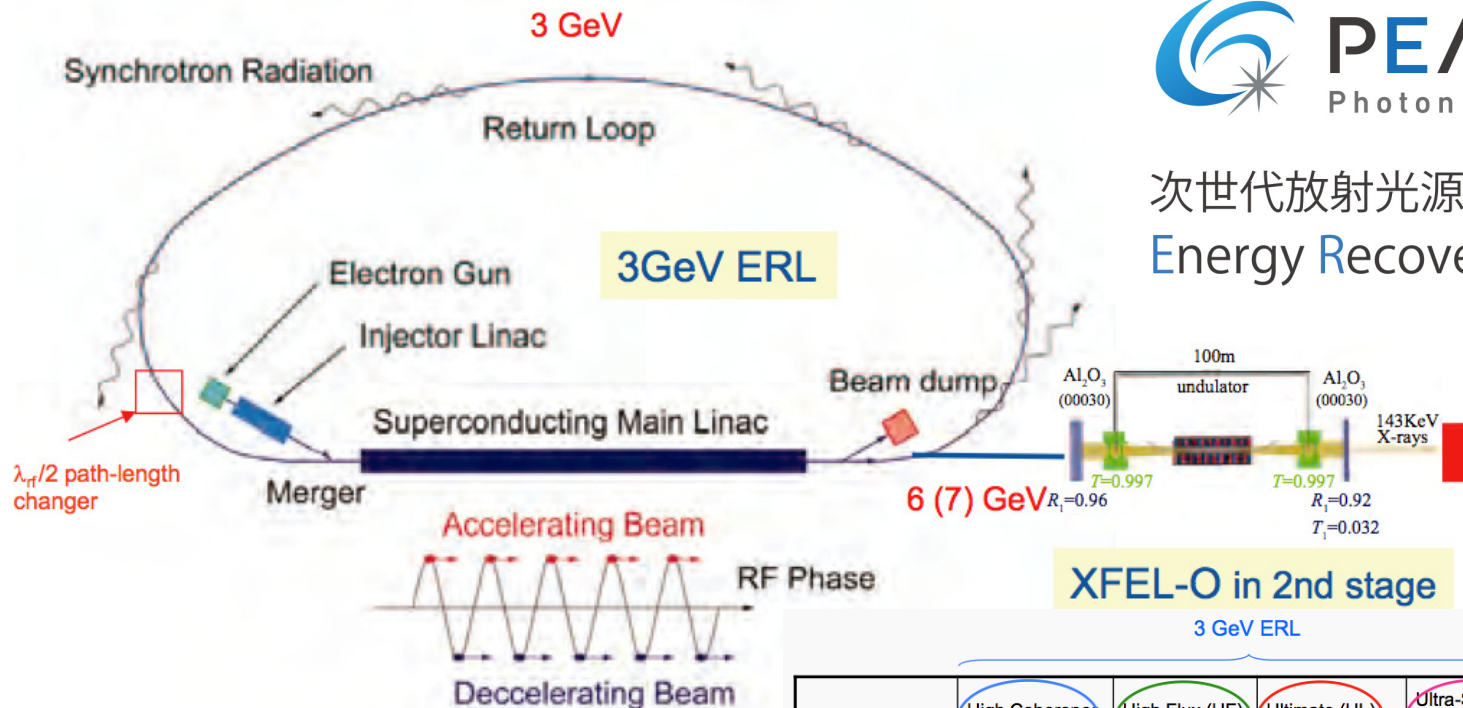
530 page  
PDDR

Cornell ERL  
Project Definition Design Report  
(PDDR)  
<http://www.classe.cornell.edu/ERL>

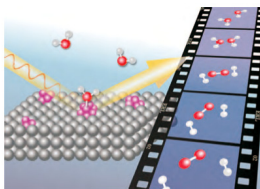
# ERL lightsources: KEK



次世代放射光源  
Energy Recovery Linac



Energy Recovery Linac  
Conceptual Design Report



XFEL-O in 2nd stage

3 GeV ERL

XFEL-O

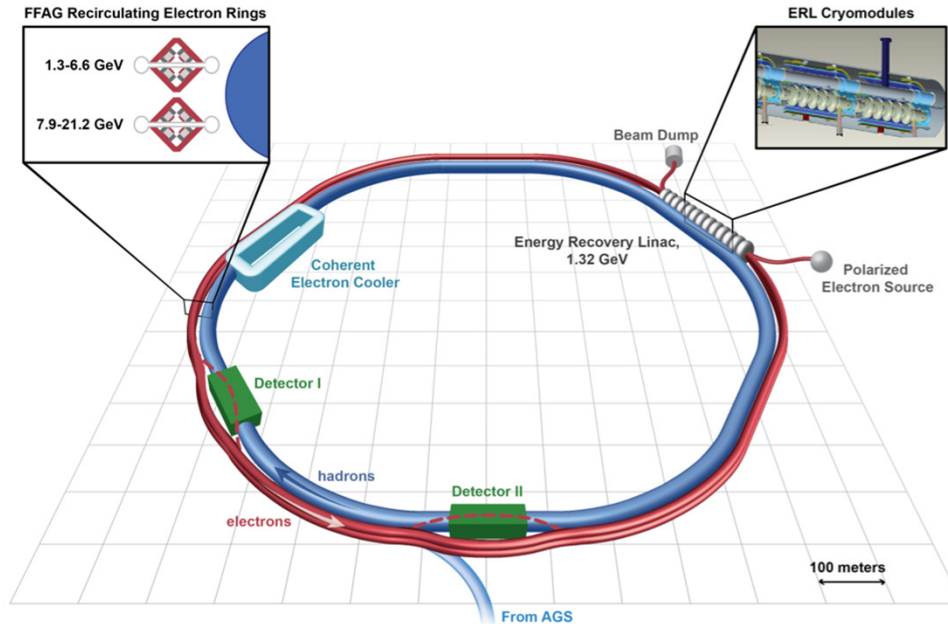
|                 | High Coherence (HC) mode | High Flux (HF) mode | Ultimate (UL) mode | Ultra-Short Pulse (US) mode | XFEL-O mode        |
|-----------------|--------------------------|---------------------|--------------------|-----------------------------|--------------------|
| Beam Energy     | 3 GeV                    |                     |                    |                             | 6 - 7 GeV          |
| Beam Current    | 10 mA                    | 100 mA              | 100 mA             | 77 $\mu$ A (typ.)           | 10 $\mu$ A         |
| Bunch Charge    | 7.7 pC                   | 77 pC               | 77 pC              | 77 pC                       | 10 pC              |
| Repetition Rate | 1.3 GHz                  | 1.3 GHz             | 1.3 GHz            | 1 MHz                       | 1 MHz              |
| Norm. Emittance | 0.1 mm·mrad              | 1 mm·mrad           | 0.1 mm·mrad        | -                           | 0.2 mm·mrad        |
| Emittance       | 17 pm·rad                | 170 pm·rad          | 17 pm·rad          | -                           | 15 pm·rad          |
| Energy Spread   | $2 \times 10^{-4}$       | $2 \times 10^{-4}$  | $2 \times 10^{-4}$ | -                           | $5 \times 10^{-5}$ |
| Bunch Length    | 2 ps                     | 2 ps                | 2 ps               | $\leq 100$ fs               | 1 ps               |

KEK ERL CDR (2012)

N. Nakamura IPAC12 talk



# ERLs for Nuclear Physics - eRHIC



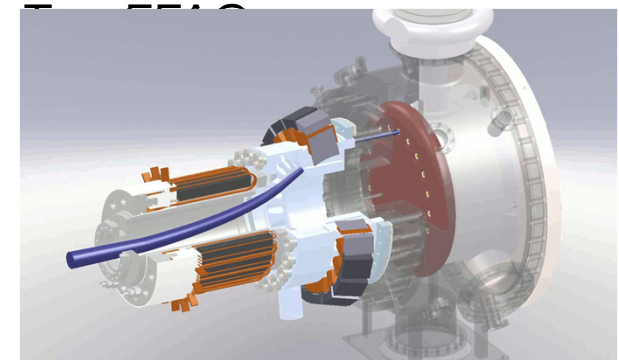
21.2 GeV (16 passes) : 18  
mA

15.9 GeV (12 passes) : 50  
mA

=> 12 MW SR

power

Linac: 1.32 GeV  
422 MHz cavities  
120 m cold length  
no quadrupoles  
1.2 A total current



[courtesy of V. Litvinenko]

|                                                           | e    | p     | $^2\text{He}^3$ | $^{79}\text{Au}^{197}$ |
|-----------------------------------------------------------|------|-------|-----------------|------------------------|
| Energy, GeV                                               | 15.9 | 250   | 167             | 100                    |
| CM energy, GeV                                            |      | 122.5 | 81.7            | 63.2                   |
| Bunch frequency, MHz                                      | 9.4  | 9.4   | 9.4             | 9.4                    |
| Bunch intensity (nucleons), $10^{11}$                     | 0.33 | 0.3   | 0.6             | 0.6                    |
| Bunch charge, nC                                          | 5.3  | 4.8   | 6.4             | 3.9                    |
| Beam current, mA                                          | 50   | 42    | 55              | 33                     |
| Hadron rms normalized emittance, $10^{-6}$ m              |      | 0.27  | 0.20            | 0.20                   |
| Electron rms normalized emittance, $10^{-6}$ m            |      | 31.6  | 34.7            | 57.9                   |
| $\beta^*$ , cm (both planes)                              | 5    | 5     | 5               | 5                      |
| Hadron beam-beam parameter                                |      | 0.015 | 0.014           | 0.008                  |
| Electron beam disruption                                  |      | 2.8   | 5.2             | 1.9                    |
| Space charge parameter                                    |      | 0.006 | 0.016           | 0.016                  |
| rms bunch length, cm                                      | 0.4  | 5     | 5               | 5                      |
| Polarization, %                                           | 70   | 70    | 70              | none                   |
| Peak luminosity, $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ |      | 1.5   | 2.8             | 1.7                    |

# ERLs for High-Energy Physics - LHeC

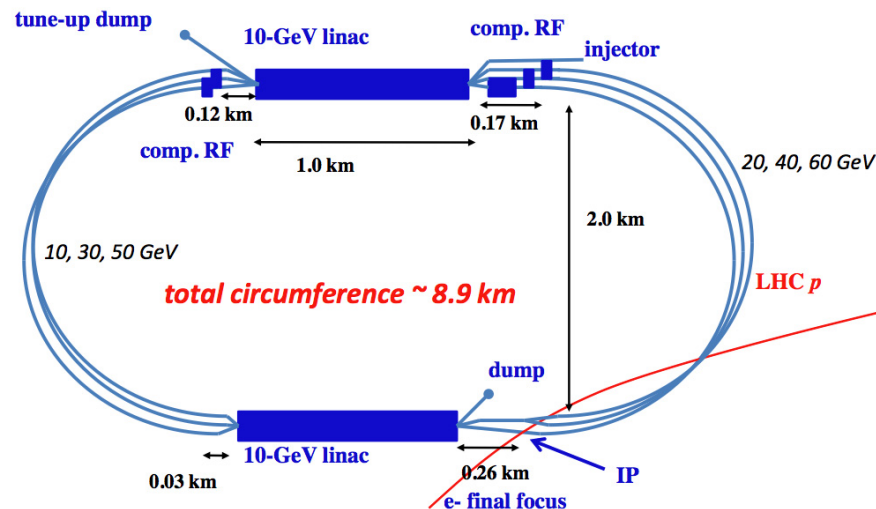


Figure 7.5: LHeC ERL layout including dimensions.

Designed for 100 MW wall-plug power  
6.4 mA, but could be raised 12+ mA

## LINAC Parameters for the Linac-Ring Option

| Operation mode                                    | CW                   | Pulsed               |
|---------------------------------------------------|----------------------|----------------------|
| Beam Energy [GeV]                                 | 60                   | 140                  |
| Peak Luminosity [ $\text{cm}^{-2}\text{s}^{-1}$ ] | $10^{33}$            | $4 \times 10^{31}$   |
| Cavity gradient [MV/m]                            | 20                   | 32                   |
| RF Power Loss [W/cavity]                          | 13-37                | 11                   |
| W per W (1.8K to RT)                              | 700                  | 700                  |
| Cavity $Q_0$                                      | $2.5 \times 10^{10}$ | $2.5 \times 10^{10}$ |
| Power loss/GeV                                    | 0.51-1.44            | 0.24                 |
| RF length [km]                                    | 2                    | 7.9                  |
| Total length [km]                                 | 9                    | 7.9                  |
| Beam current [mA]                                 | 6.4                  | 0.27                 |
| Repetition rate                                   | -                    | 10 Hz                |
| Pulse length                                      | -                    | 5ms                  |

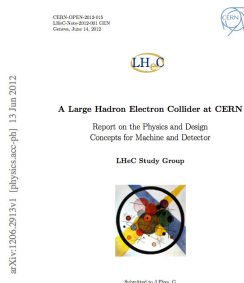
Bruening – IPAC13 MOZB201

A Large Hadron Electron Collider at CERN:  
Report on the Physics and Design Concepts for  
Machine and Detector - J. L. Fernandez et al.

ERL-Ring and Ring-Ring studies - 600 pages  
<http://arxiv.org/abs/1206.2913>

January 2014 workshop

Christopher Mayes – June 20, 2014



# Critical Components

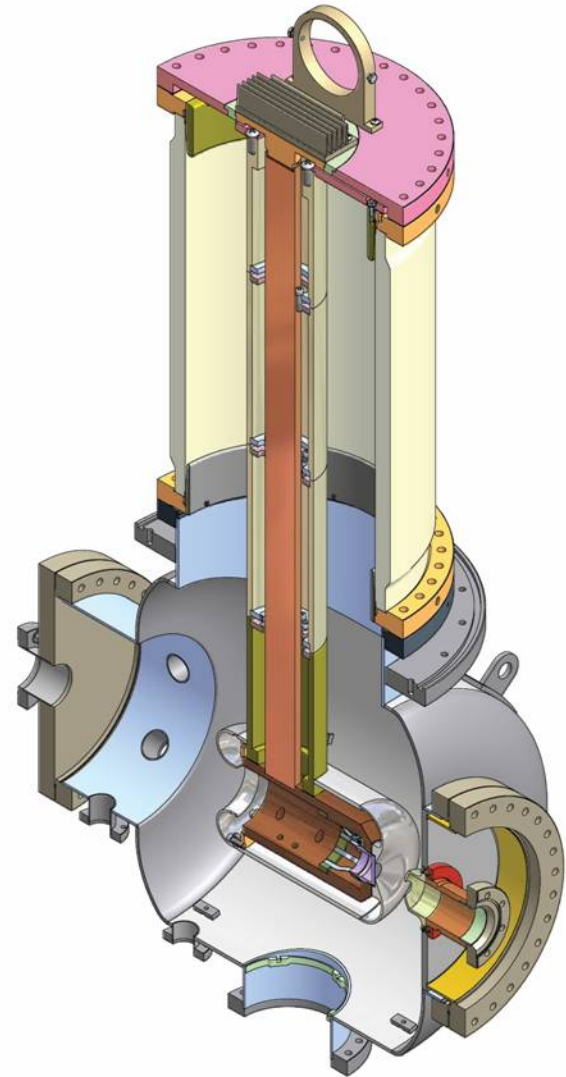
**Source**

Injector

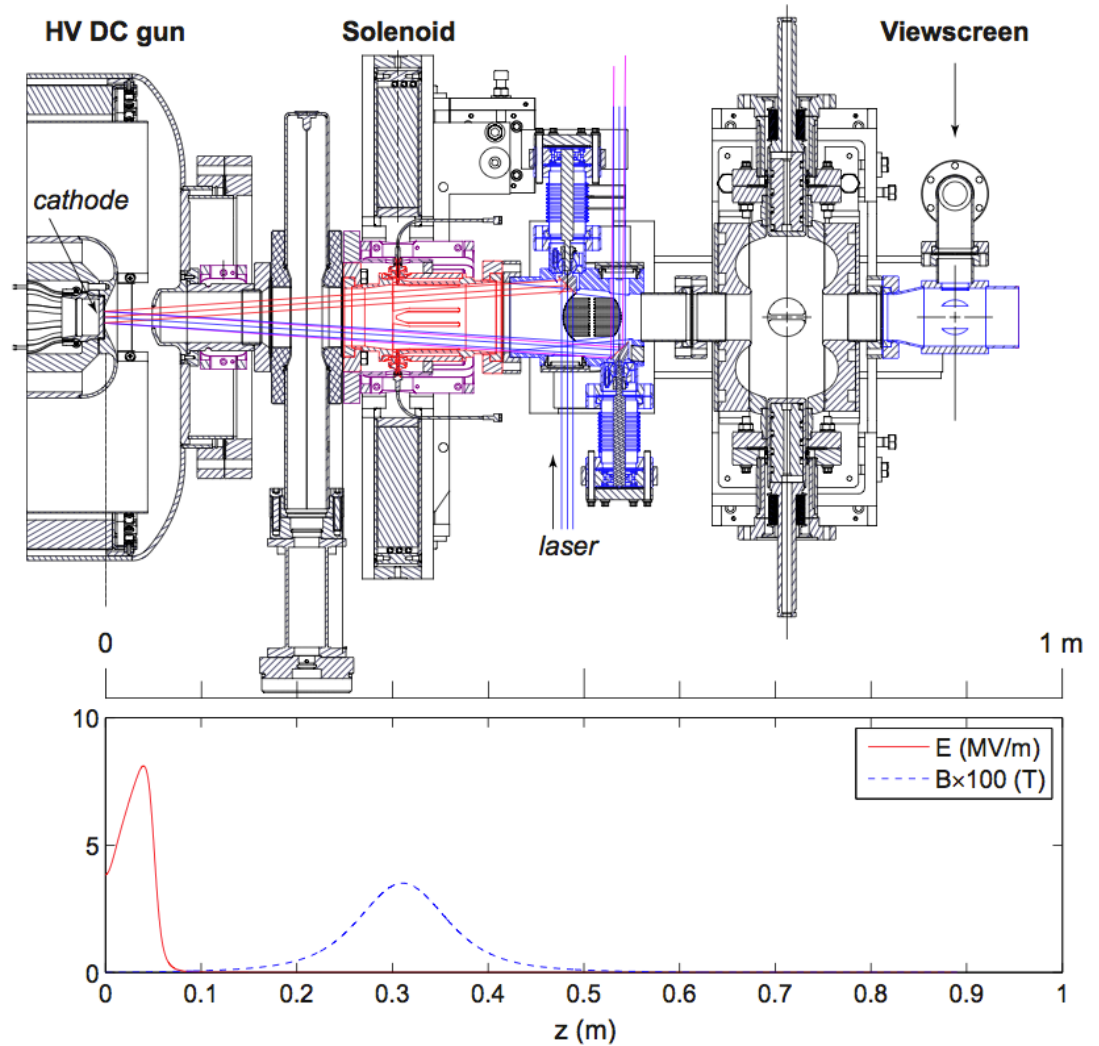
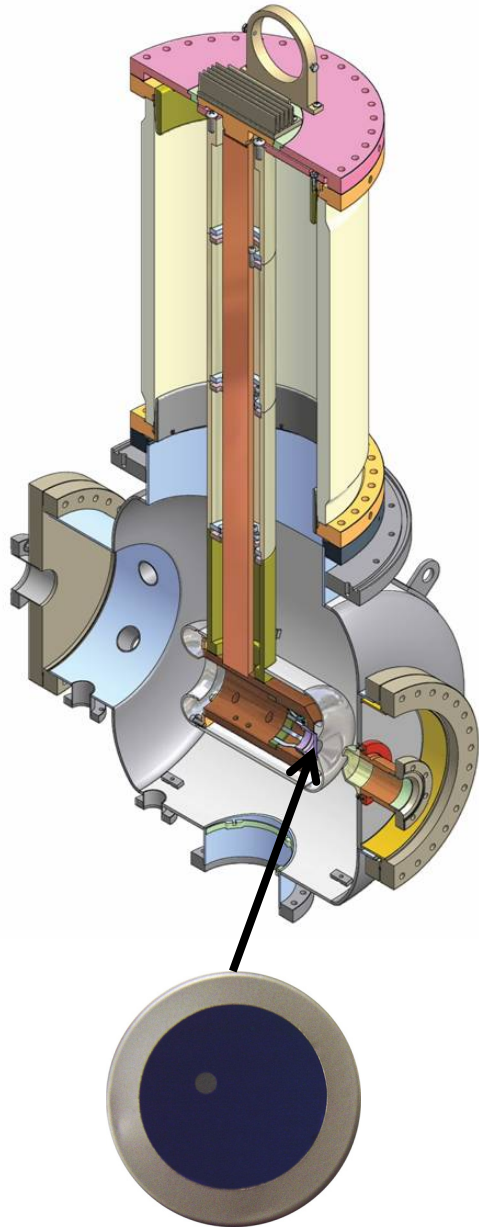
Linac

Beam Transport

Insertion Devices



# Source: Cathode and Gun



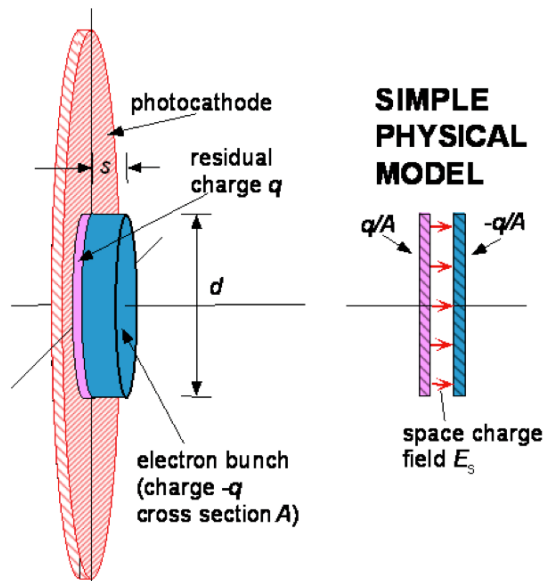


# Cathode

## Highest Charge

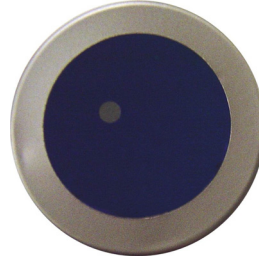
$$\frac{Q_{\text{bunch}}}{A_{\text{spot}}} \lesssim \epsilon_0 E_z$$

Uniform disk:  $A_{\text{spot}} \approx 4\pi\sigma_x^2$



$$\sigma_z \ll \sigma_x, \sigma_y$$

$$Q_{\text{bunch}} \lesssim 9 \text{ pC} \frac{E_z}{\text{MV/m}} \frac{A_{\text{spot}}}{\text{mm}^2}$$



## Lowest Emittance

$$\epsilon_{x,n} = \sigma_x \sqrt{\frac{\mathcal{E}_{\text{thermal}}}{m_e c^2}}$$

Mean transverse kinetic energy, determined by the cathode material and laser wavelength

$$\epsilon_{x,n} \gtrsim \sqrt{\frac{Q_{\text{bunch}}}{4\pi\epsilon_0 E_z} \frac{\mathcal{E}_{\text{thermal}}}{m_e c^2}}$$

$$\epsilon_{x,n} \gtrsim .13 \mu\text{m} \sqrt{\frac{Q_{\text{bunch}}}{100 \text{ pC}} \frac{10 \text{ MV/m}}{E_z} \frac{\mathcal{E}_{\text{thermal}}}{100 \text{ meV}}}$$

[Bazarov et al., PRL 102, 104801 \(2009\)](#)

# Cathode Engineering

## Want:

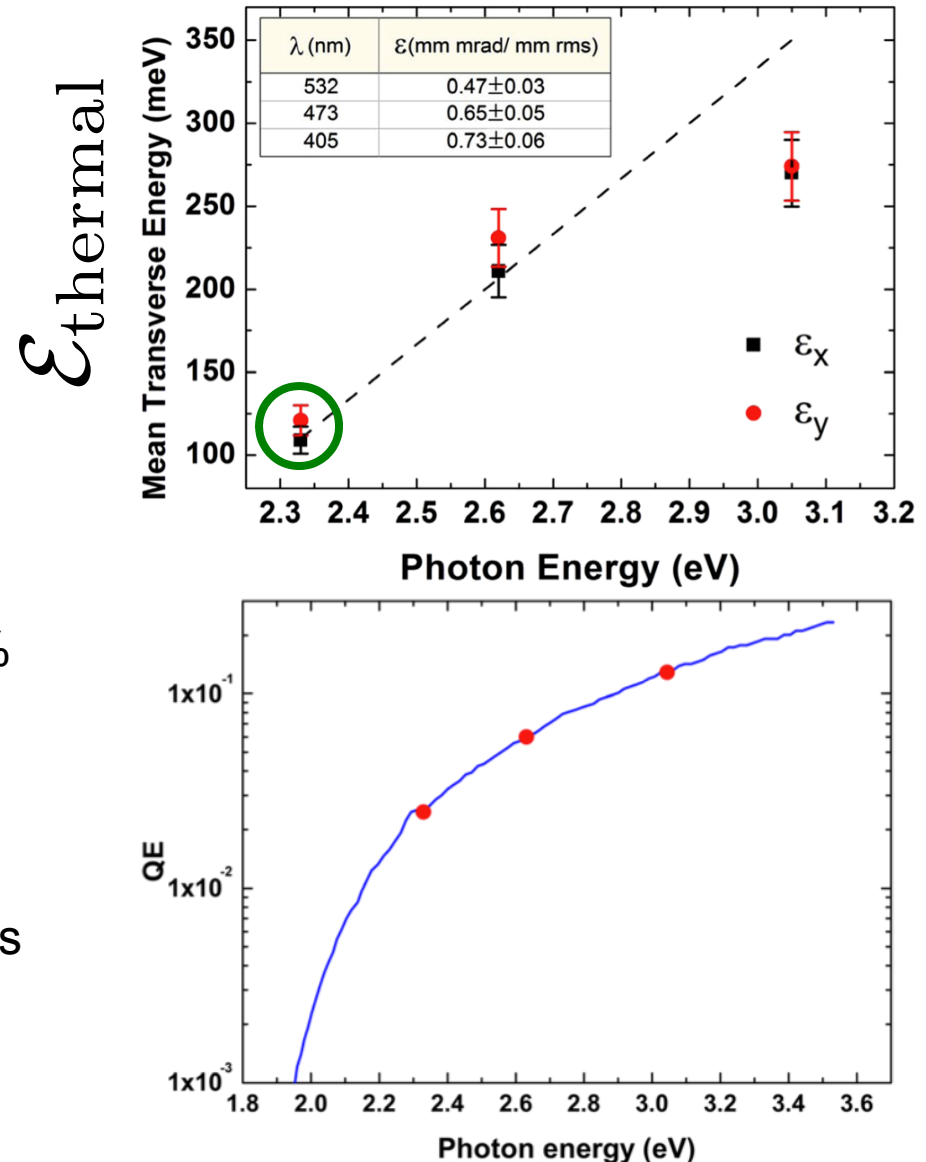
- Low  $\mathcal{E}_{\text{thermal}}$
- Good Quantum Efficiency ( $> 1\%$ )  
(QE: electron yield per photon)
- Long lifetime (many hours)

## GaAs

- lowest thermal energy of any known cathode
- 25 meV at 800 nm (IR), QE 1%
- 120 meV at 520 nm (green), QE 10%  
(also can produce polarized electrons)

- Alkalai antimonide: CsK<sub>2</sub>Sb, NaKSb
- widely used in photomultiplier devices
- 100's meV in visible, QE of a few %
- Robust
- Record sustained currents (65 mA)

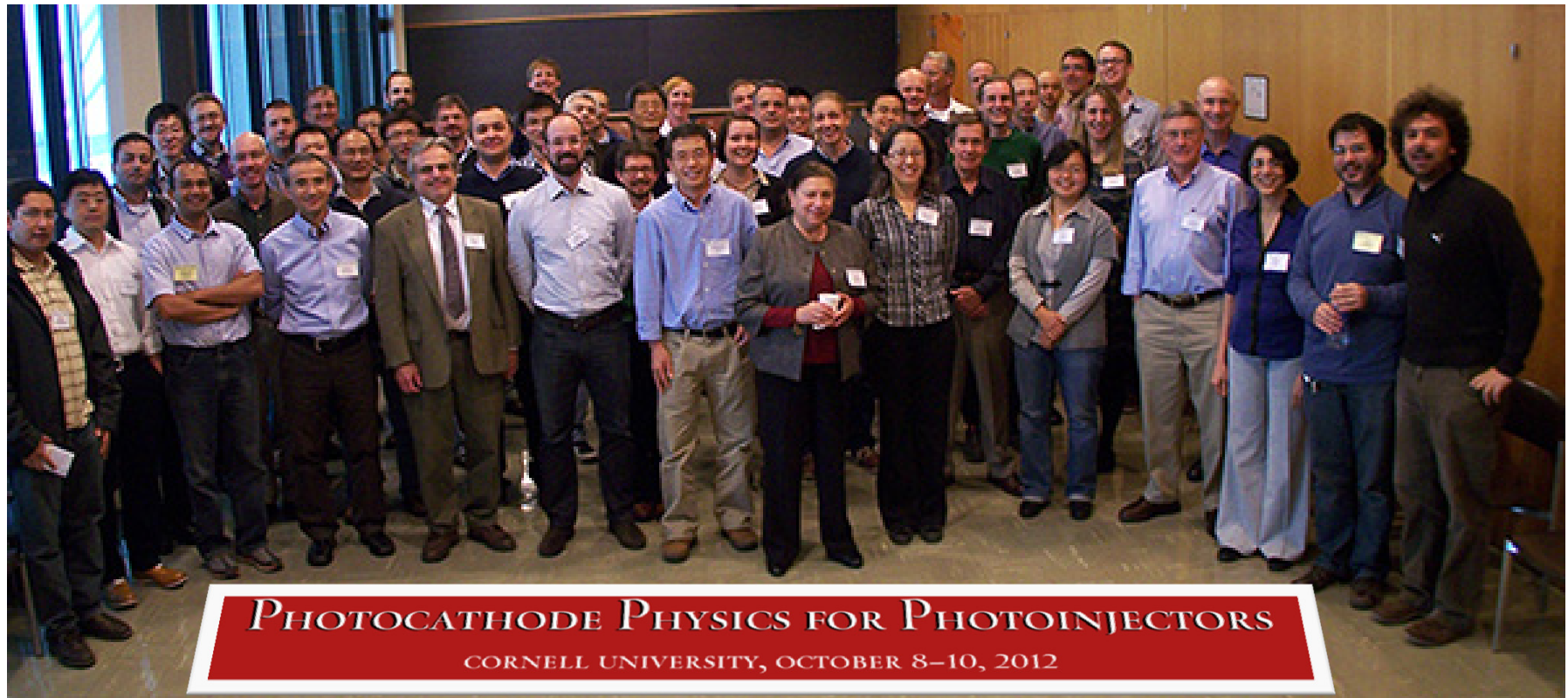
## NaKSb Measurement



[Cultrera et al., Appl. Phys. Lett. 103, 103504 \(2013\)](#)

# Photocathode Wiki

P3 workshop at Cornell (Oct 2012), wiki website for photocathodes, fostering national and international collaborations on theory/modeling and MBE grown samples, and more...



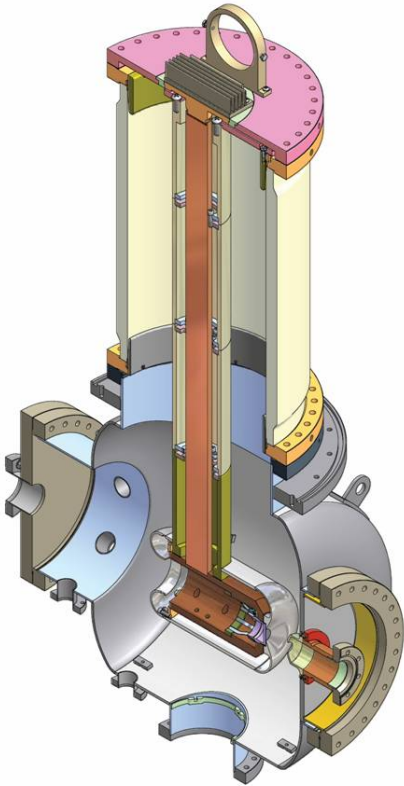
[http://photocathodes.chess.cornell.edu/wiki/Main\\_Page](http://photocathodes.chess.cornell.edu/wiki/Main_Page)

# High-Current, low emittance Guns

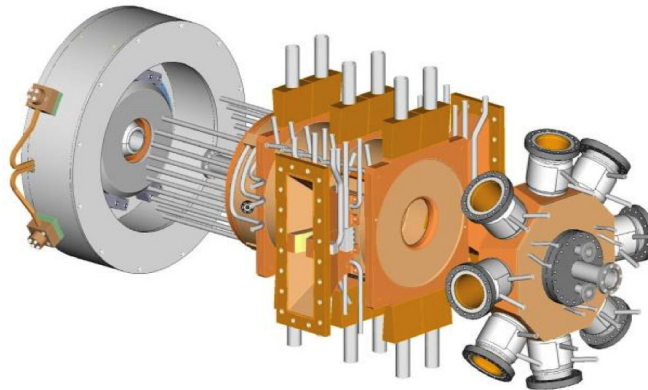
DC

NCRF

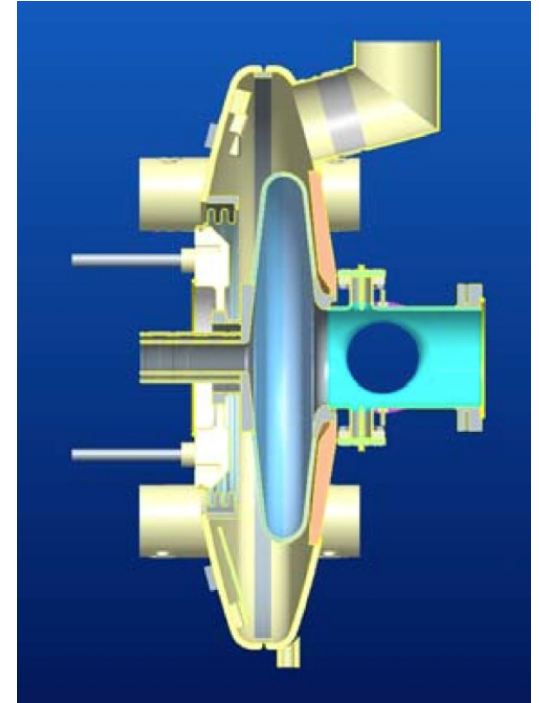
SRF



Cornell 500 kV DC Gun



[LANL 700 MHz NCRF Gun](#)



[BNL 704 MHz SRF Gun](#)



# Superconducting RF (SRF) Guns

J. Teichert, IPAC14: MOZB01

## SRF

High fields on cathode (10-20 MV/m)  
(but bunch must be accelerated off-crest, 30 degrees)  
-> higher charge/area

High net accelerating voltage  
(+2 to +9 MeV, dependent on the number of cells)

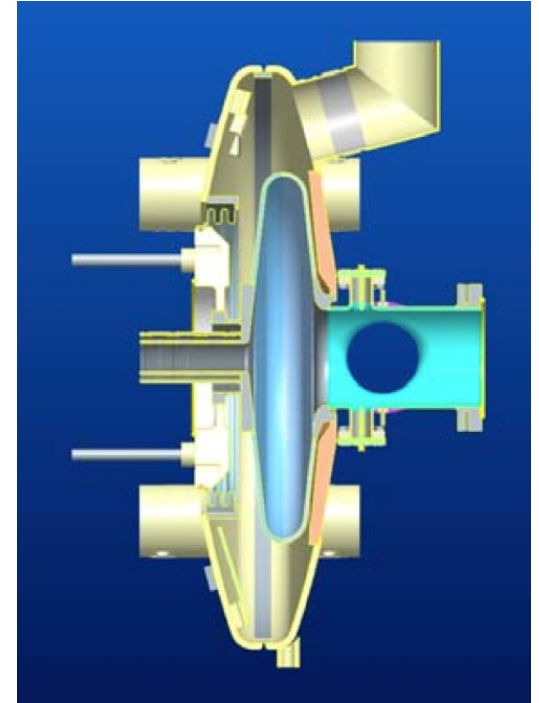
Can suffer from field emission  
(contamination from cathode insertion)

Difficulty pumping in RF power for high currents

Record: 0.4 mA at Rossendorf

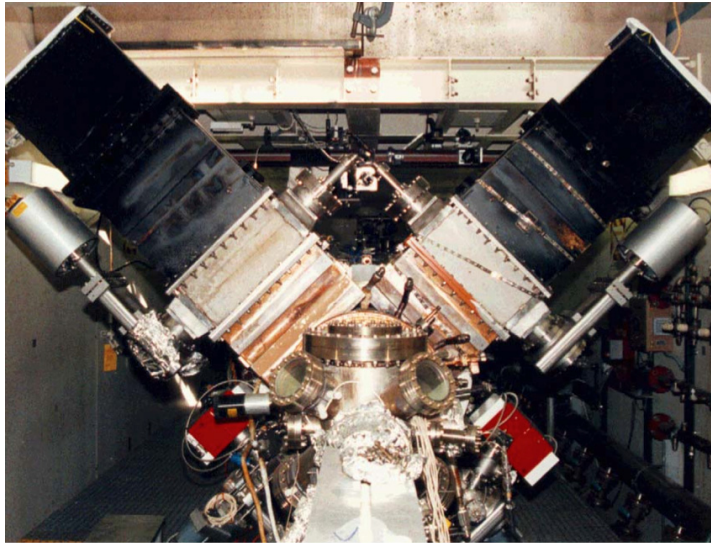
### Developed for:

eRHIC (BNL) (300 mA)  
BERlinPro (HZB) (100 mA)  
ELBA (Rossendorf) (<10 mA)  
PKU (8 mA)



[BNL 704 MHz SRF Gun](#)

# Normal-Conducting RF Guns (NCRF)



Boeing/LANL 433 MHz gun held  
record 32 mA at 5 MeV in 1993

Very high-field on cathode for pulsed operation:  
>100 MV/m LCLS gun

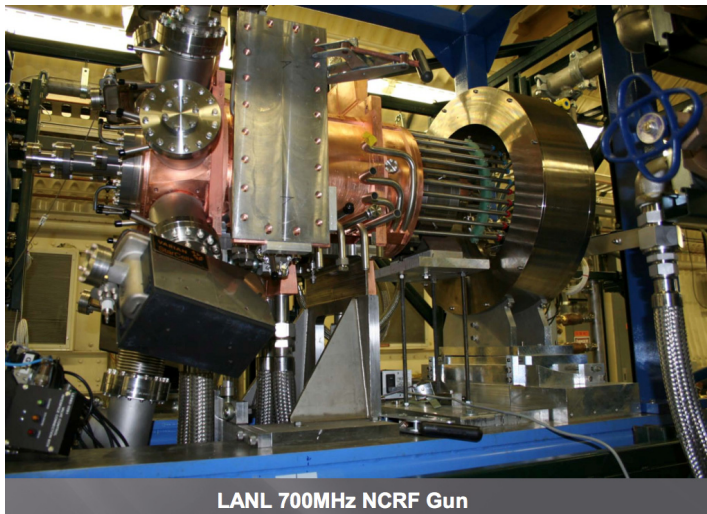
Moderate fields for CW operation:  
10 MV/m for the LANL/AES gun

Difficulty cooling at CW

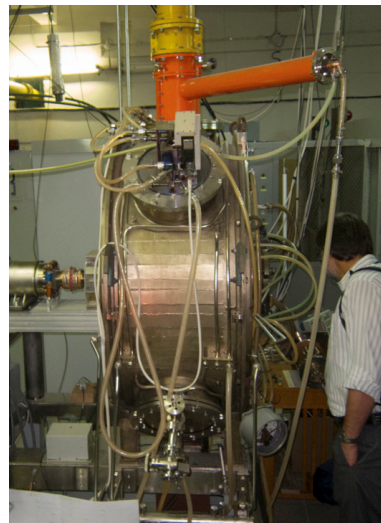
Limited to alkali cathodes because of poor vacuum

Fig. 1. Photograph of the Boeing/LANL 433 MHz NCRF gun in the test vault.

[Dowell et al., Appl. Phys. Lett. 63, 2035 \(1993\)](#)



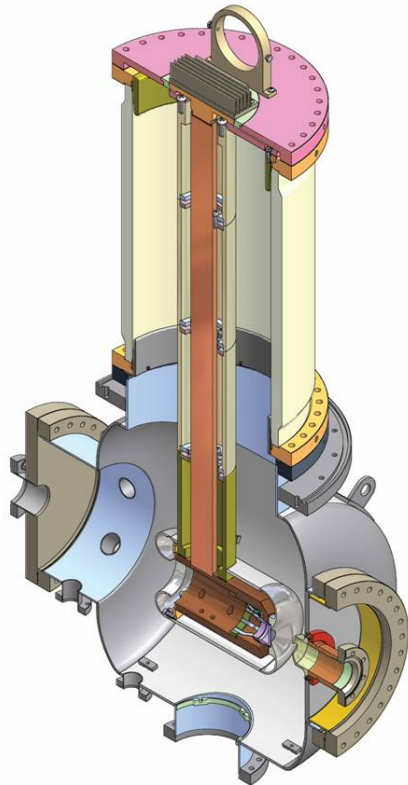
[LANL 700 MHz NCRF Gun](#)



[BINP \(Novosibirsk\) 130 MHz Gun](#)

Developed at:  
BINP (30 mA)  
LANL  
Berkeley (1 mA)

# Direct-Current (DC) Guns



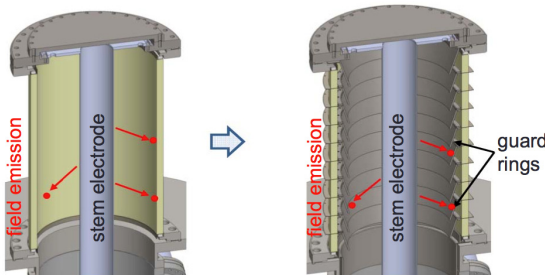
- Moderate fields on cathode (4-7 MV/m)
- Compatible with any type of cathode
- Any repetition rate
- Any average current
- Bunch charges < a few hundred pC
- Reliable, proven design (developed at SLAC, Jlab)

Relatively inexpensive

Potential problems with insulator at high voltages  
newer guns use guard rings

New current record-holder ...

## Improvements



## Used and developed at:

Cornell

JLab

KEK

JAEA

ALICE (Daresbury)

IHEP (Beijing)

# Critical Components

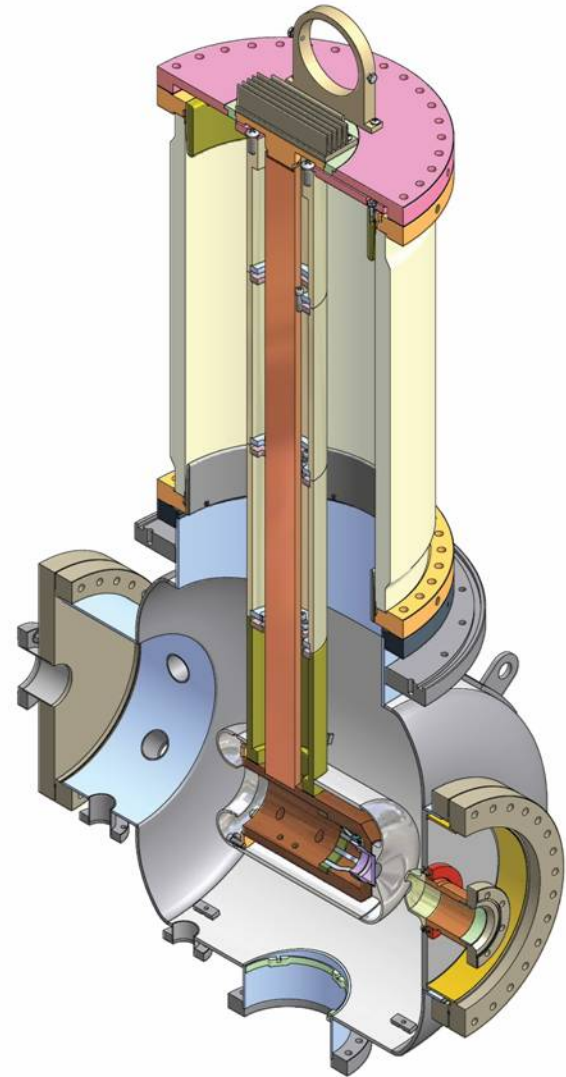
Source

**Injector**

Linac

Beam Transport

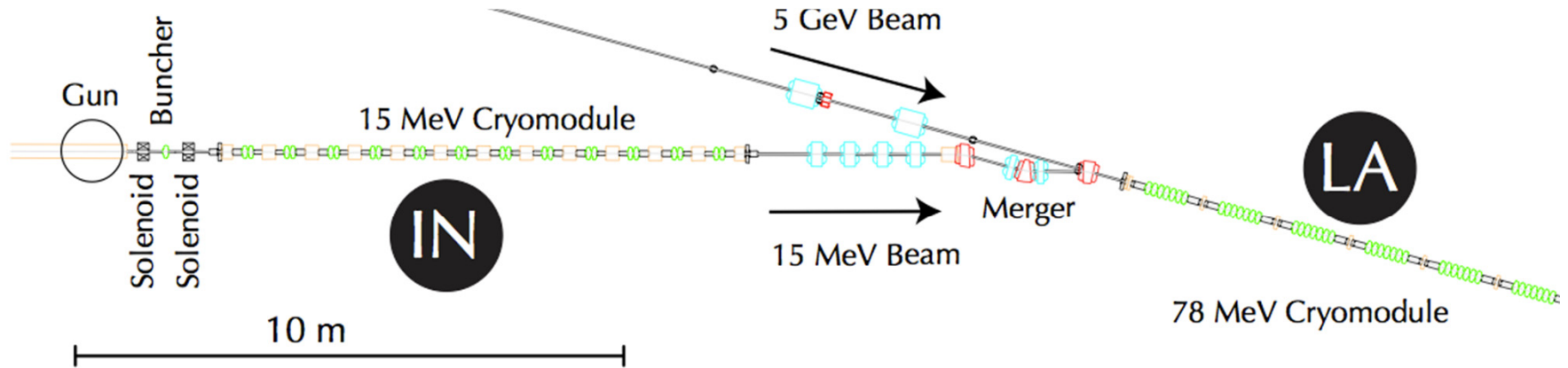
Insertion Devices



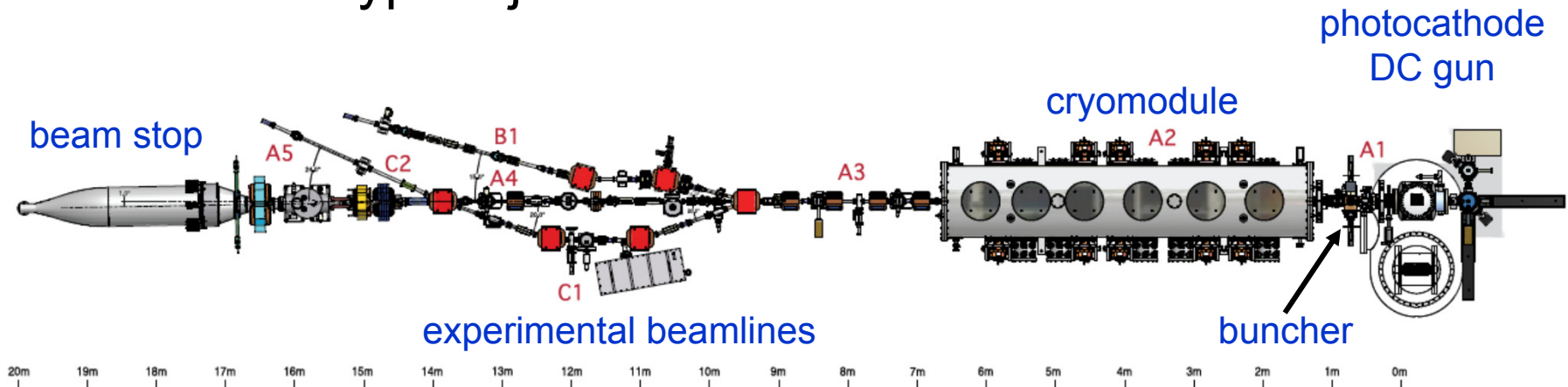


# Injector

## Cornell ERL

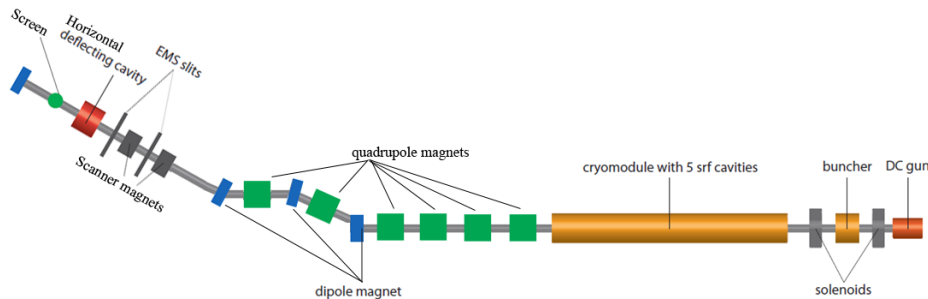


## Cornell Prototype Injector

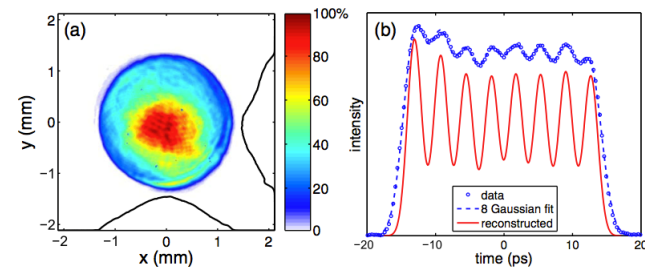


# Injector: emittance preservation

## Model

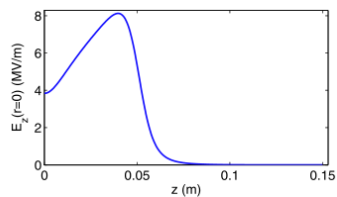


## Shape your laser pulse



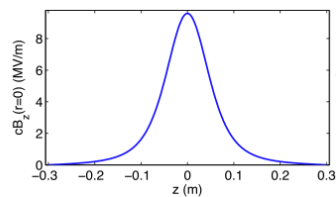
## Understand your fields

### Gun



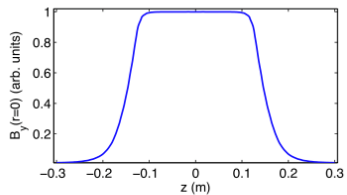
(a) On-axis electric field in the high voltage DC gun.

### Solenoid



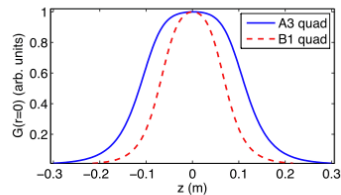
(b) On-axis magnetic field for the A1 solenoids.

### Dipole



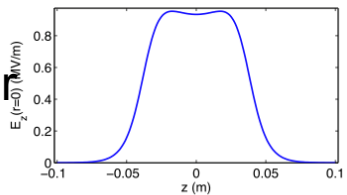
(c) 1D Dipole field map.

### Quad.



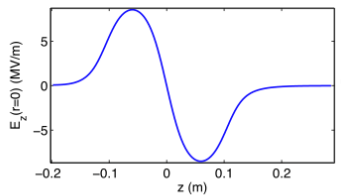
(d) 1D Quadrupole gradient data.

### Buncher



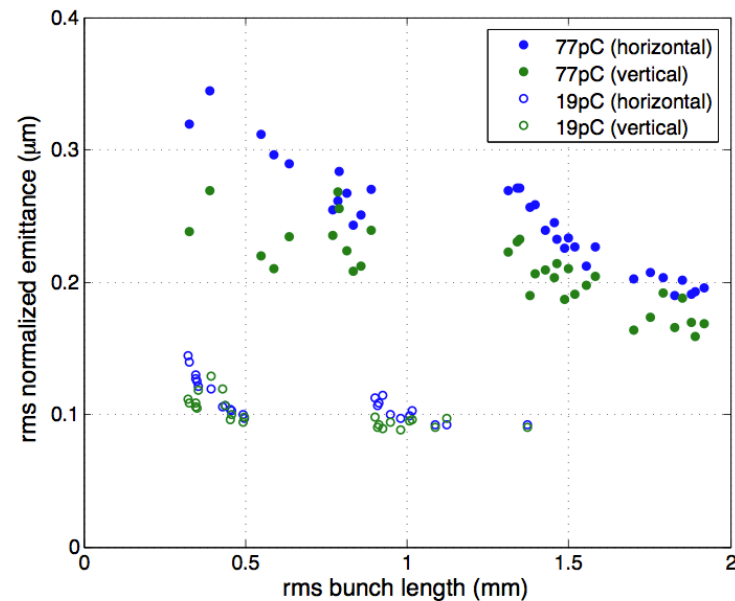
(e) On-axis electric field for the buncher.

### Cavity



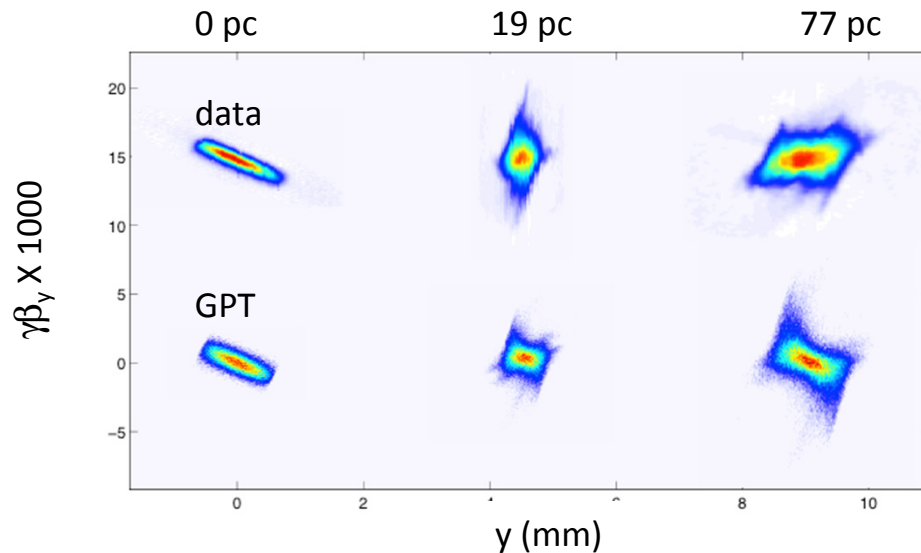
(f) On-axis electric field for the SRF cavity.

## Optimize



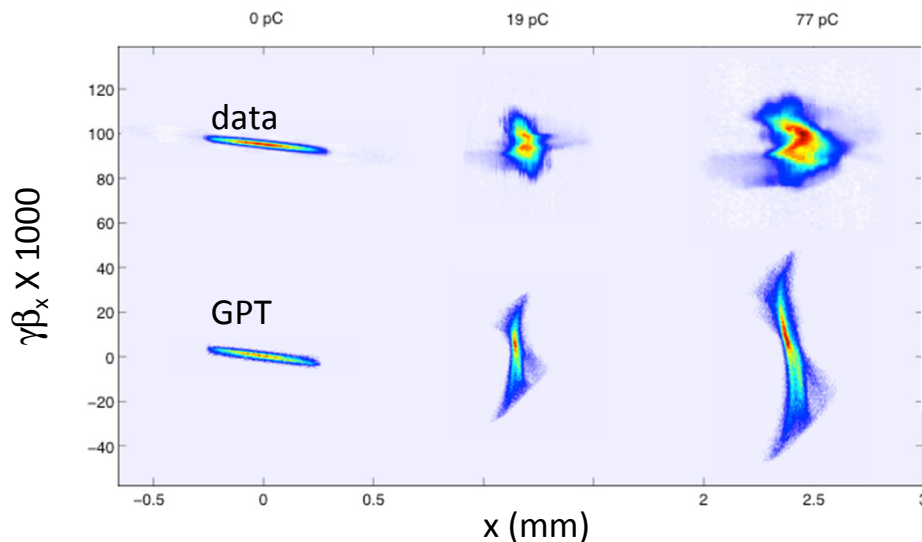
# Phase space measurements and simulation

Projected Emittance for 19 (77) pC  
at 8 MeV:



$(y, p_y)$

| Data Type       | enorm(100%)<br>[mm-mrad] | enorm(90%)<br>[mm-mrad] |
|-----------------|--------------------------|-------------------------|
| Projected (EMS) | 0.20 (0.40)              | 0.14 (0.29)             |
| GPT             | 0.16 (0.37)              | 0.11 (0.25)             |



$(x, p_x)$

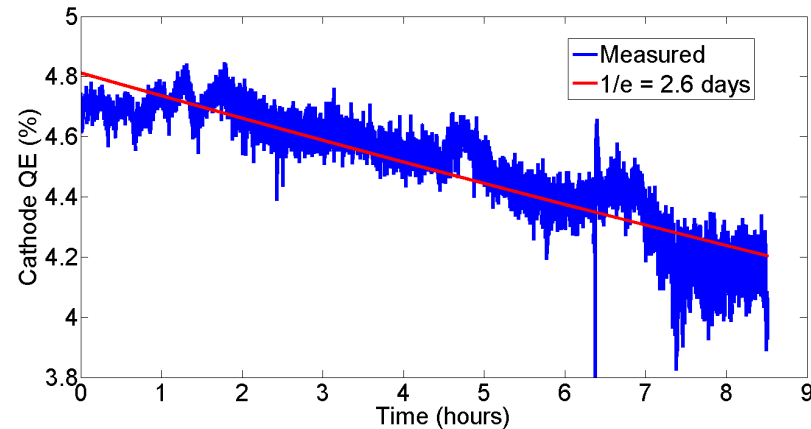
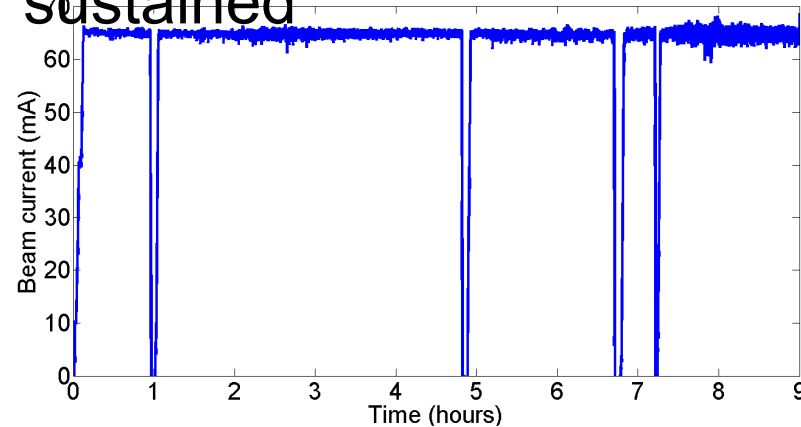
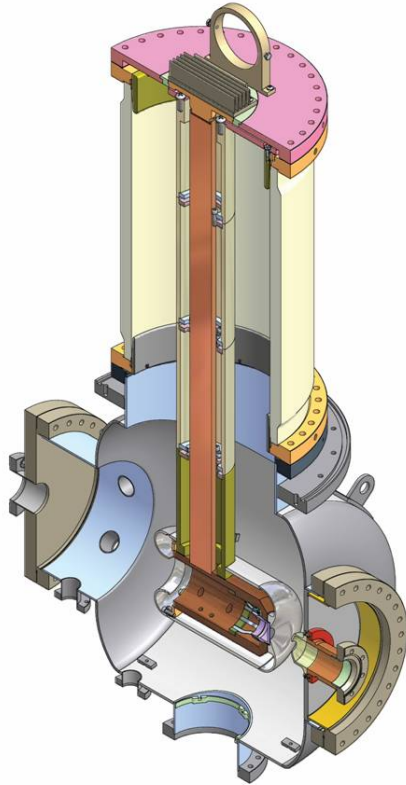
| Data Type       | enorm(100%)<br>[mm-mrad] | enorm(90%)<br>[mm-mrad] |
|-----------------|--------------------------|-------------------------|
| Projected (EMS) | 0.33 (0.69)              | 0.23 (0.51)             |
| GPT             | 0.31 (0.72)              | 0.19 (0.44)             |

30

[Gulliford et al., Phys. Rev. ST Accel. Beams 16, 073401 \(2013\)](#)

# Cornell Injector Record Current at 4 MeV

Highest current ever NaK<sub>2</sub>Sb Cathode: 75 mA, 65 mA sustained

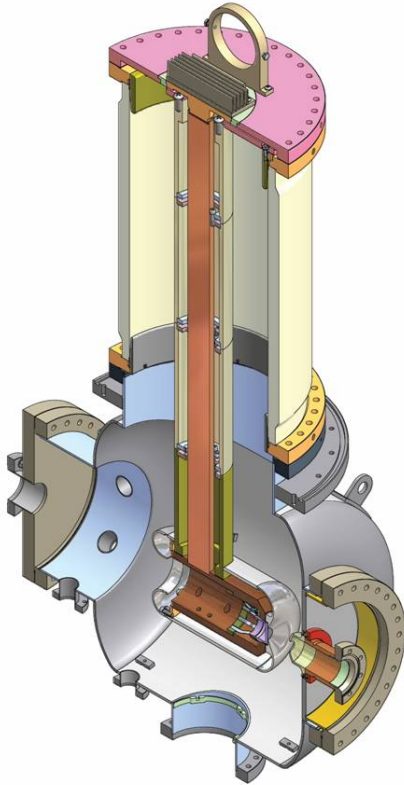


[Dunham et al., Appl. Phys. Lett., 102, 034105 \(2013\)](#)

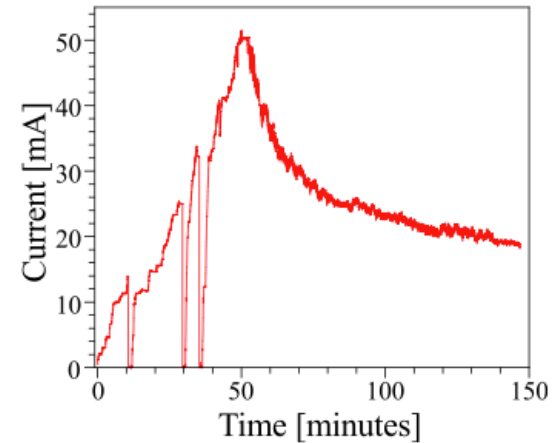


# Cornell Injector Record Current at 4 MeV

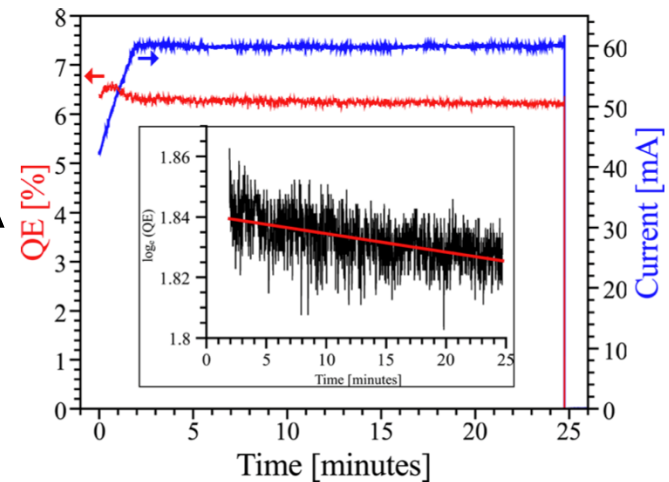
More records:



GaAs: 52 mA



CsK<sub>2</sub>Sb: 60 mA



[Dunham et al., Appl. Phys. Lett., 102, 034105 \(2013\)](#)

# Critical Components

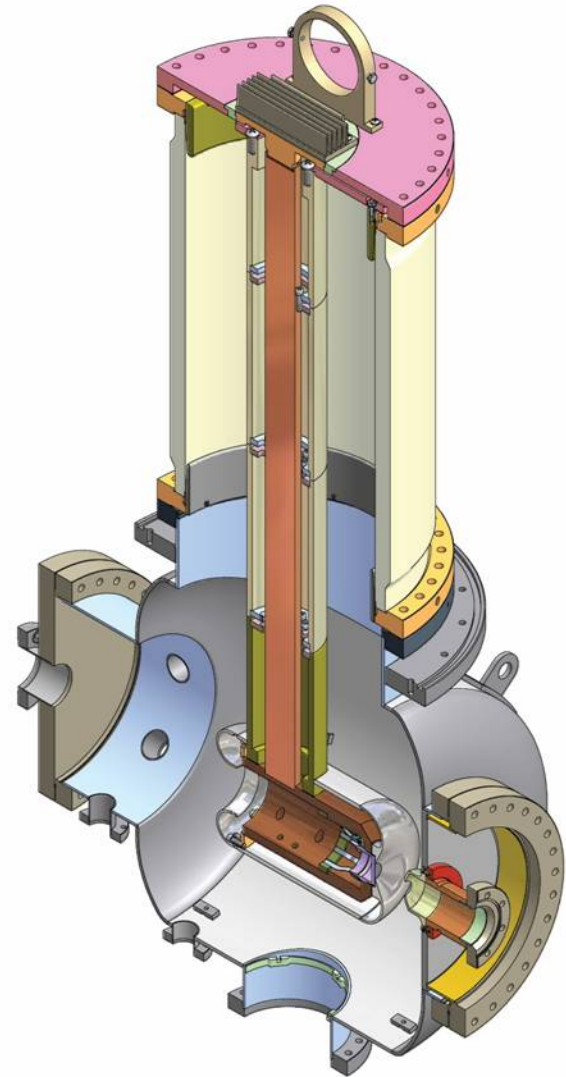
Source

Injector

**Linac**

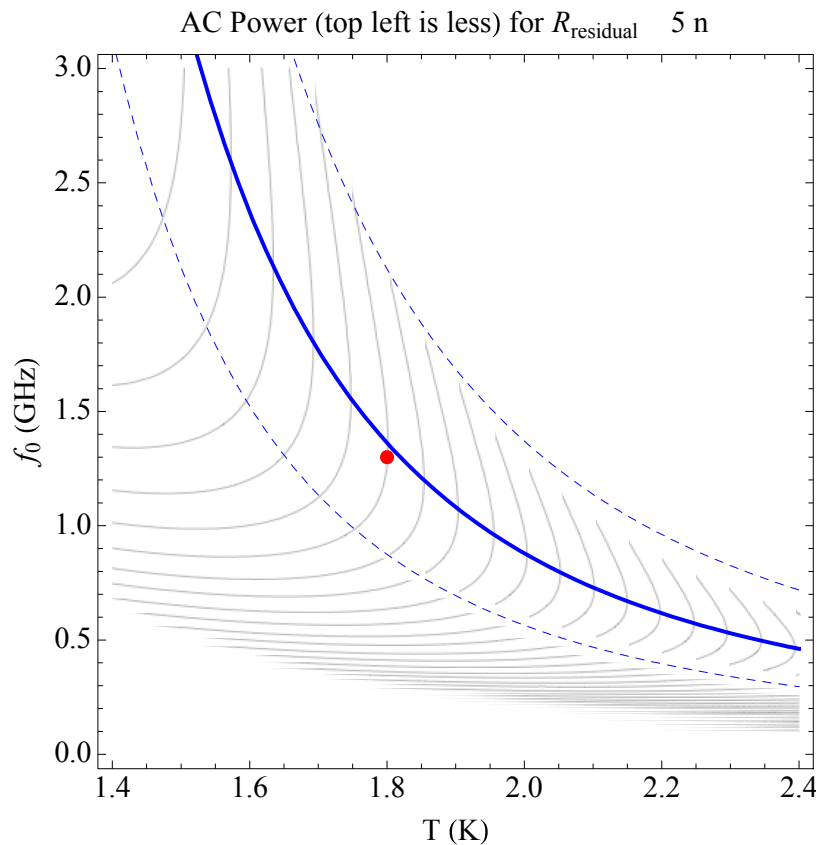
Beam Transport

Insertion Devices



# AC power optimization per unit length

$$P_{AC} \propto \frac{1}{fT} [R_{\text{res}} + R_{\text{BCS}}(f, T)]$$



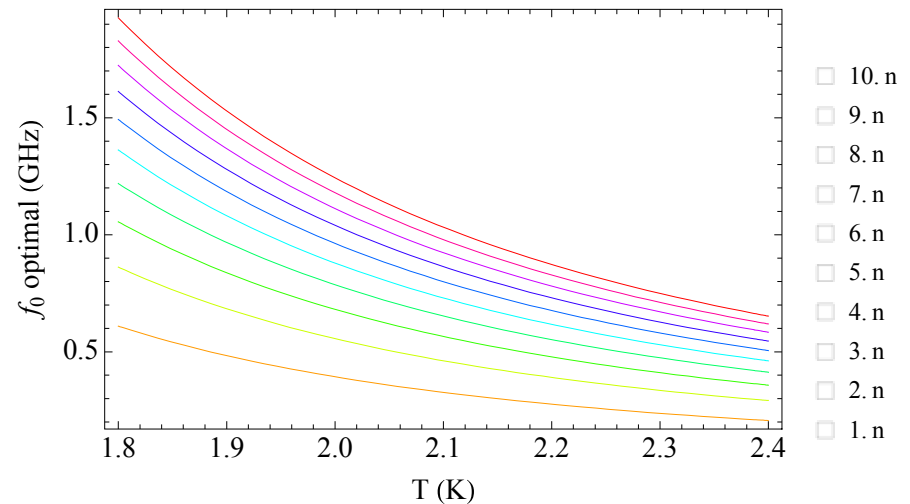
## SRF Surface Resistance

$$R_{\text{surface}} = R_{\text{residual}} + A (2\pi f)^2 \underbrace{\frac{\exp(-\Delta/k_B T)}{T}}_{R_{\text{BCS}}(f, T)}$$

$$A = 2.26 \mu\Omega \text{ K/GHz}^2$$

$$\Delta/k_B = 17.67 \text{ K}$$

[Valles et al., SRF2009-tuppo072](#)



$$400 \text{ MHz} \lesssim f_0 \lesssim 1500 \text{ MHz}$$

# Frequency

choice  $400 \text{ MHz} \lesssim f_0 \lesssim 1500 \text{ MHz}$

Less RF losses, if BCS resistance dominates

Lower wakefields  
(beam is smaller relative to the cavity)

Fewer cells per cavity, so less likely to have trapped modes

Lower HOM excitation for same offset  
(therefore higher BBU threshold)

eRHIC, LHeC

Lower Power/Length

Lower surface area:  
contamination less likely  
less material costs  
smaller components in general

Thinner walls (less expensive)

Higher frequencies better for lightsources

Established technology at 1.3 and 1.5 GHz

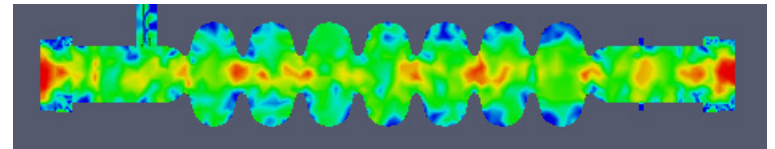
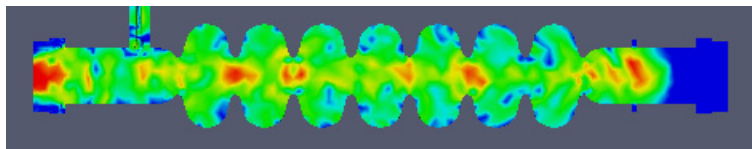
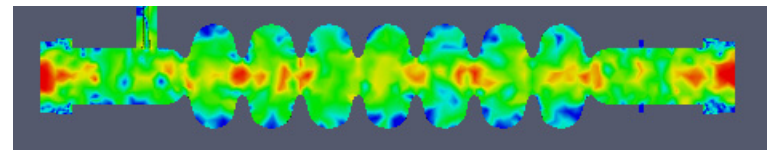
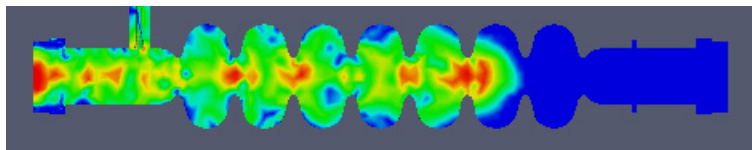
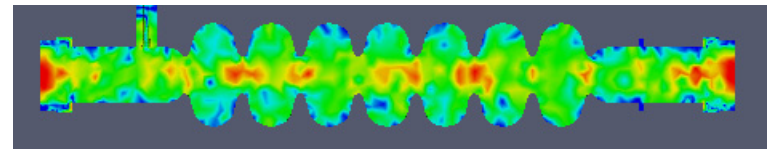
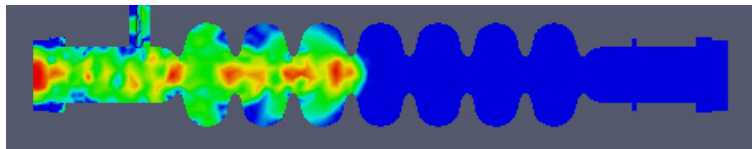
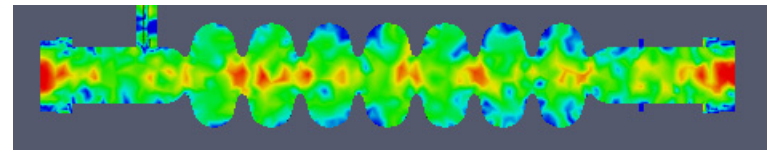
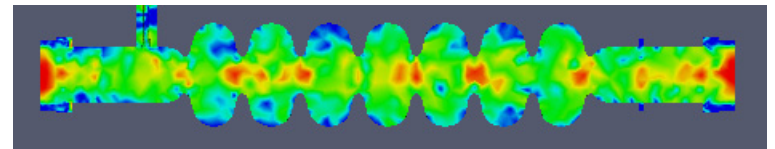
ILC, European X-FEL, CEBAF, LCLS2

# More than the fundamental...

Wakefields excite Higher Order Modes (HOMs)

Color scale is logarithmic

Cavity with higher order mode absorbers

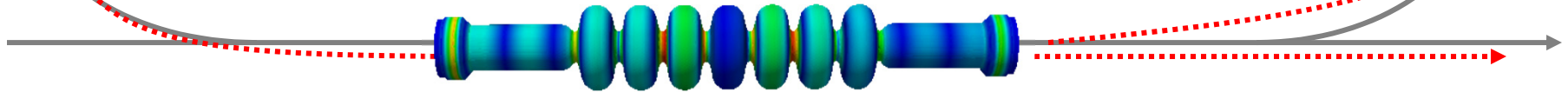


Gaussian bunch passing through with average length 15  
mm



# Beam Breakup (BBU) instability

Beam break up: a potential limit to ERL currents



For a single Higher Order Mode (HOM) in a single cavity, the maximum sustainable current is:

$$I_{\text{threshold}} = - \frac{2c^2}{e(R/Q)_\lambda Q_\lambda \omega_\lambda} \frac{1}{T_{12} \sin \omega_\lambda t_{\text{return}}}$$

First observed in 1981

UNIQUE BEAM PROPERTIES OF THE STANFORD 300 MeV SUPERCONDUCTING RECYCLOTRON\*

C. M. Lyneis, M. S. McAshan, R. E. Rand, H. A. Schwettman, T. I. Smith and J. P. Turneaure

High Energy Physics Laboratory  
Stanford University  
Stanford, California 94305

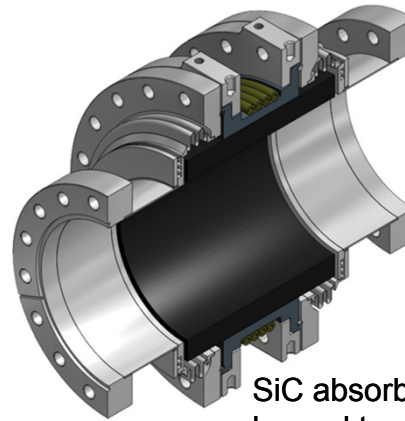
$$x_{\text{pass } 2} = T_{12} x'_{\text{pass } 1}$$

Hoffstaetter & Bazarov, PRST-AB 7, 054401 (2004)

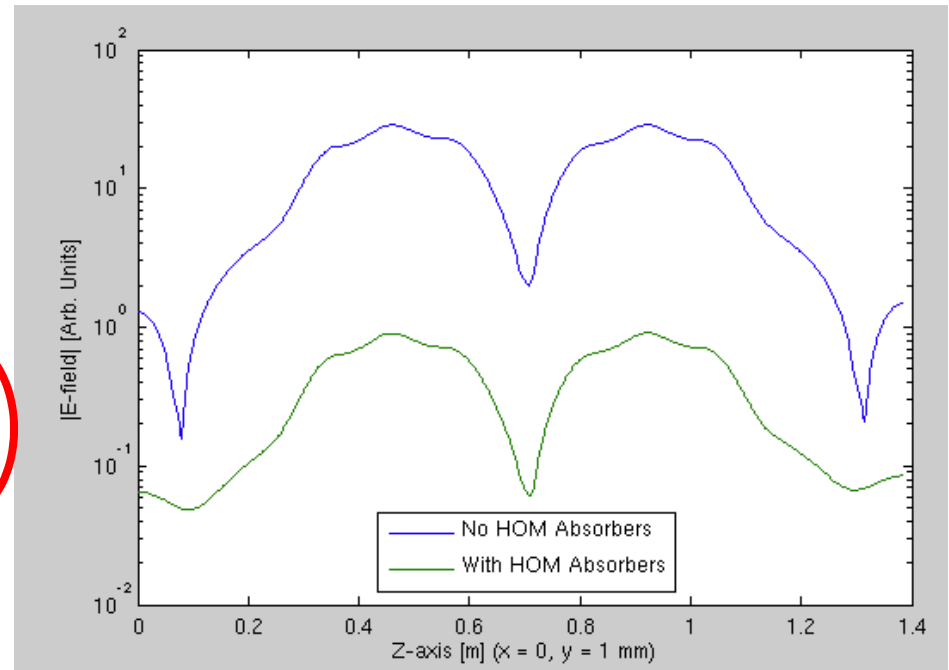
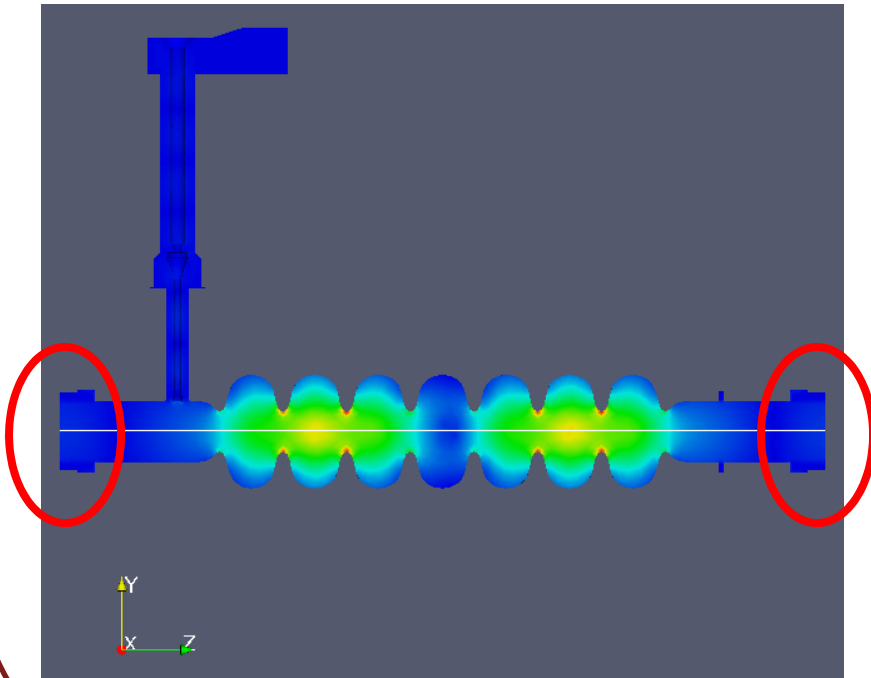
# Add HOM Absorbers

Example HOM at 1612.4604 Hz  
Q without absorber:  $Q = 5.49 \times 10^6$   
Q with absorber:  $5.38 \times 10^3$

Other methods: HOM antennas  
(BNL, KEK)



SiC absorber ring  
brazed to metal ring



[Courtesy of N. Valles]

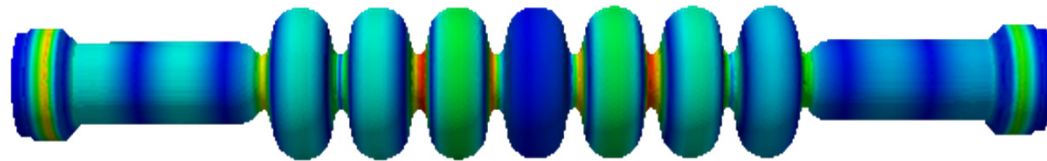
Christopher Mayes – June 20, 2014

# Cavity Design: shape optimization

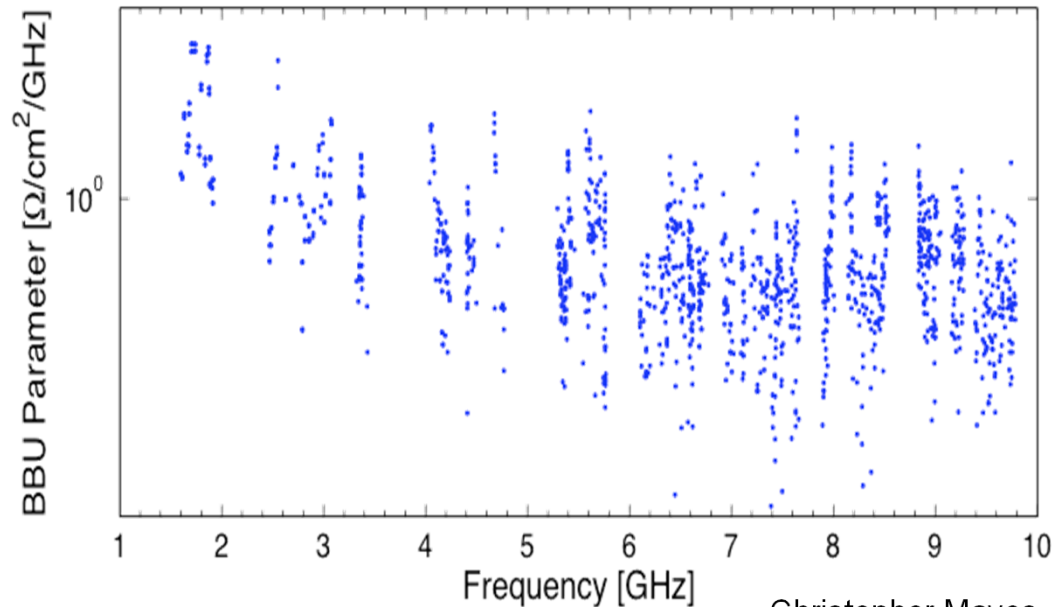
$$I_{\text{threshold}} = \frac{2c^2}{e(R/Q)_\lambda Q_\lambda \omega_\lambda} \frac{1}{T_{12} \sin \omega_\lambda t_{\text{return}}}$$

BBU parameter

Optimize the cavity shape with about 20 free parameters

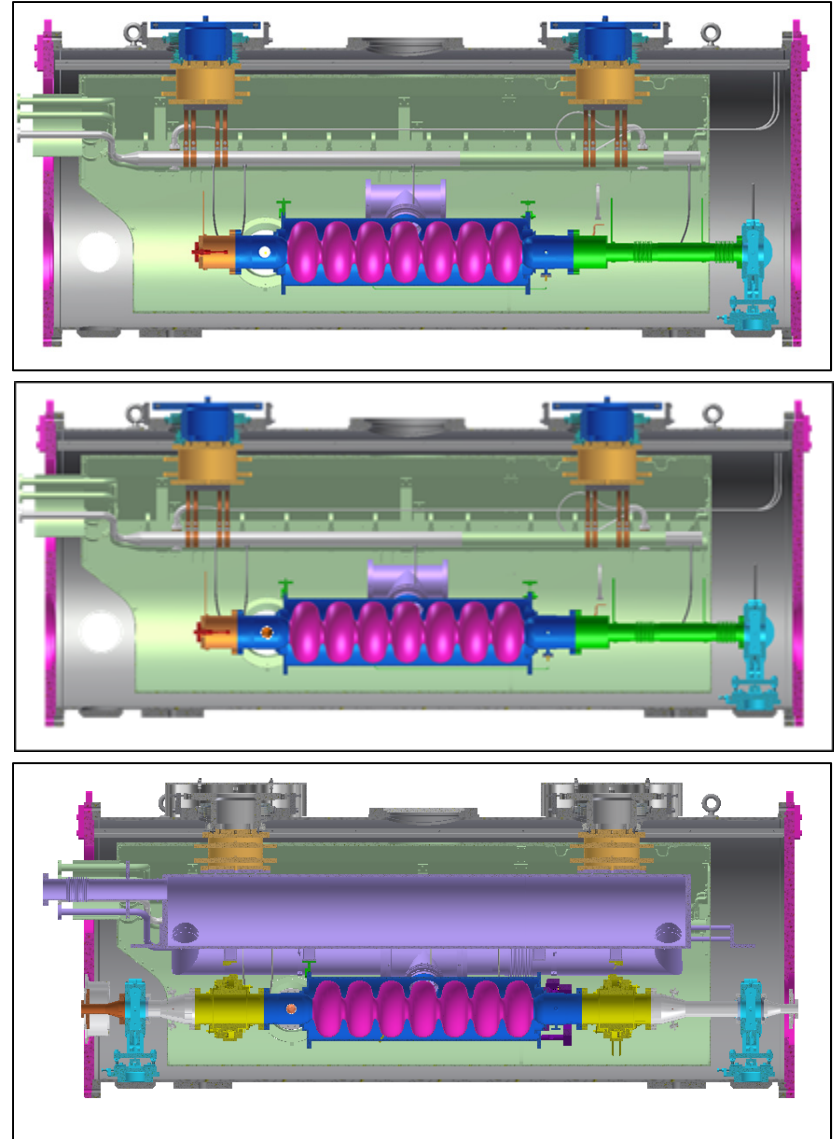


Dipole HOM damping calculated up to 10 GHz  
with realistic RF absorbers



# Cornell Horizontal Test Cryomodule (HTC)

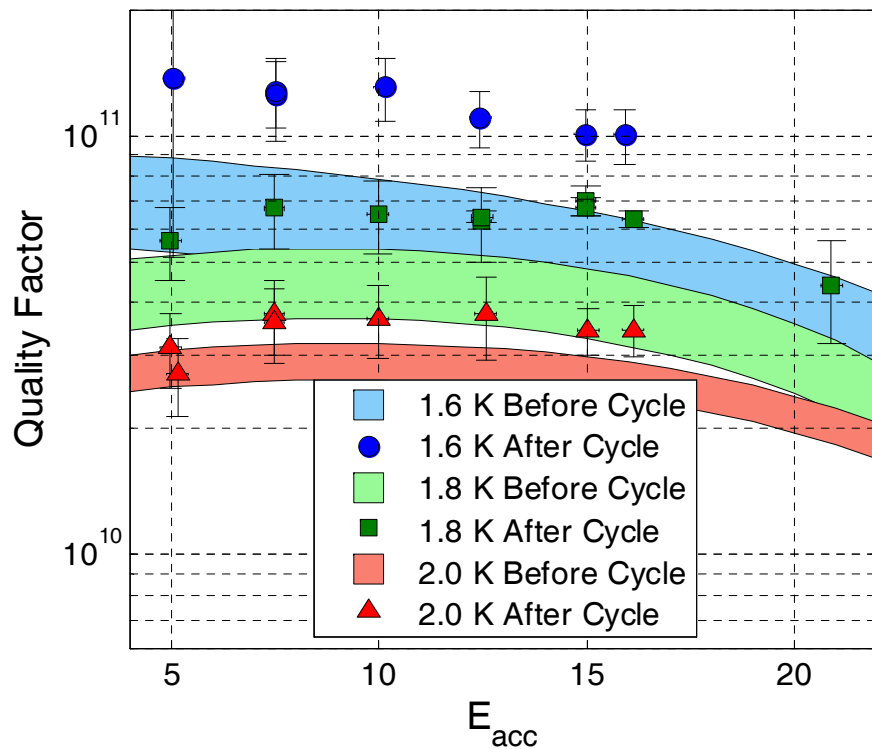
- HTC-1: Follow vertical assembly procedure as closely as possible
- HTC-2: Include side mounted, High-power input coupler
- HTC-3: Full cryomodule assembly-high power RF input coupler and HOM absorbers



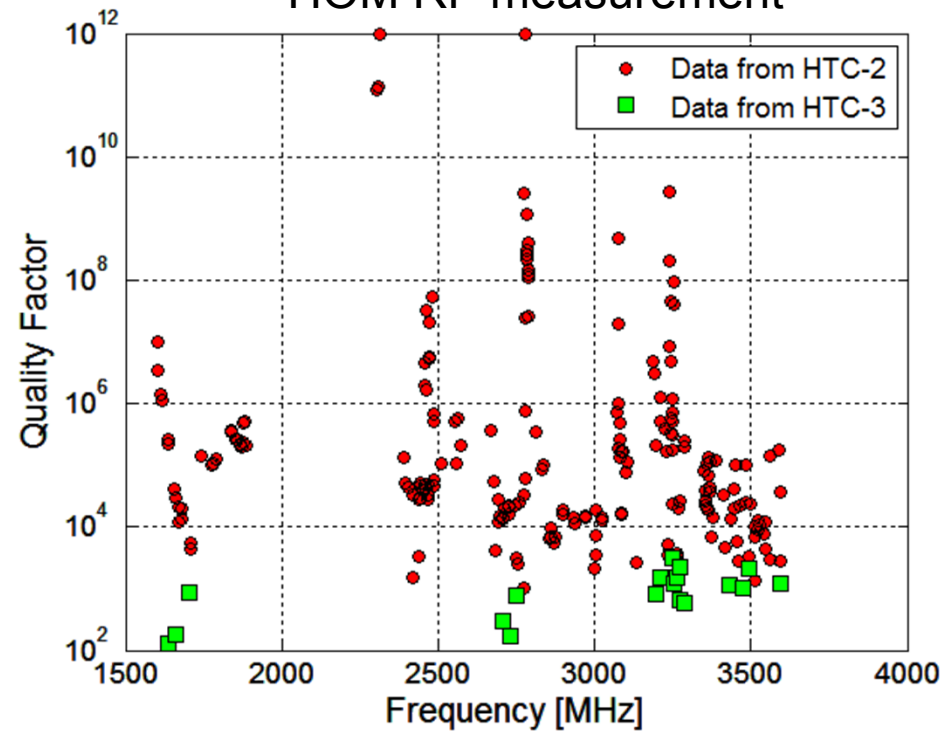
# HTC-3: Cavity + Coupler + HOM Absorbers

New Record in a horizontal cryomodule:  
 **$Q_0 > 10^{11}$**  (at 16.2 MV/m, 1.6 K)

After 10 K Thermal Cycle



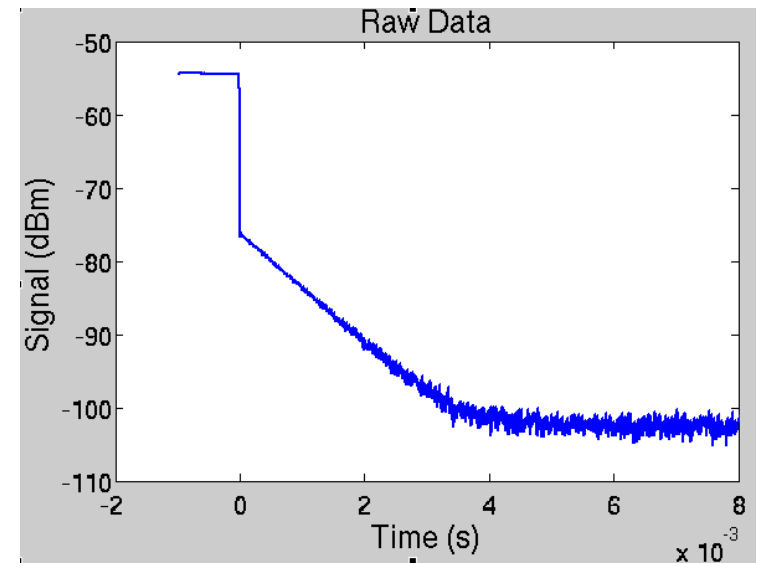
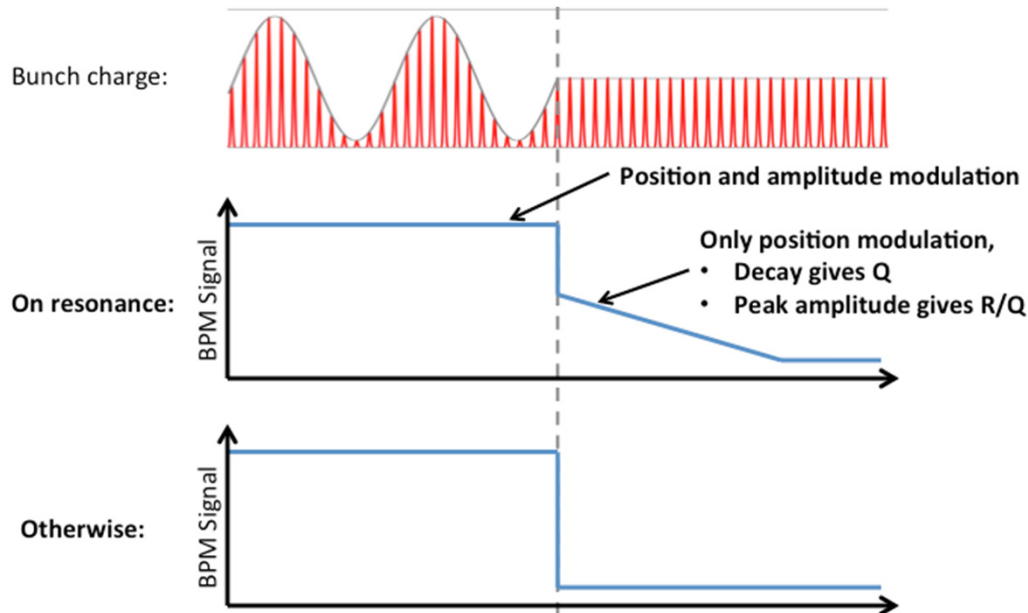
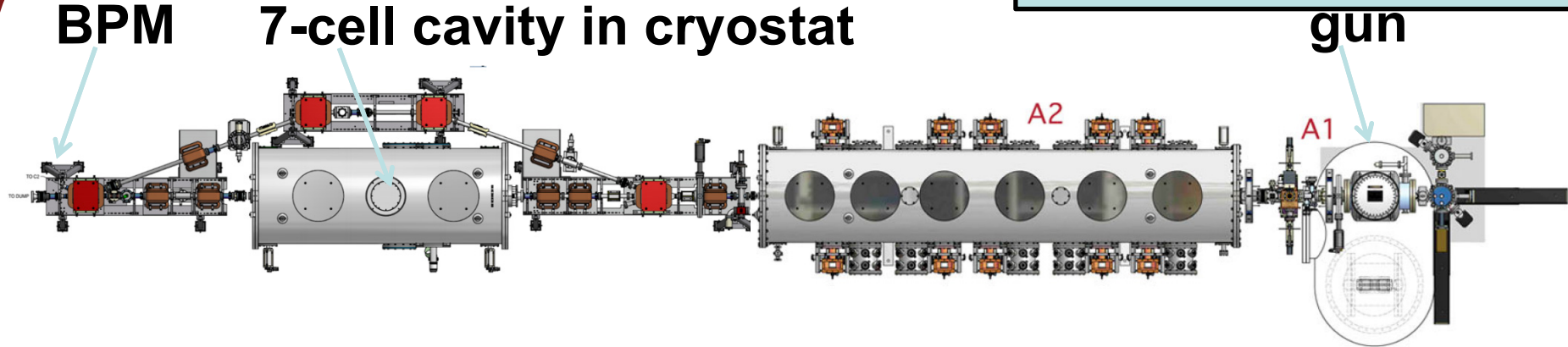
HOM RF measurement





# HTC HOM measurement with beam

D. Hall, IPAC14: MOPRO113

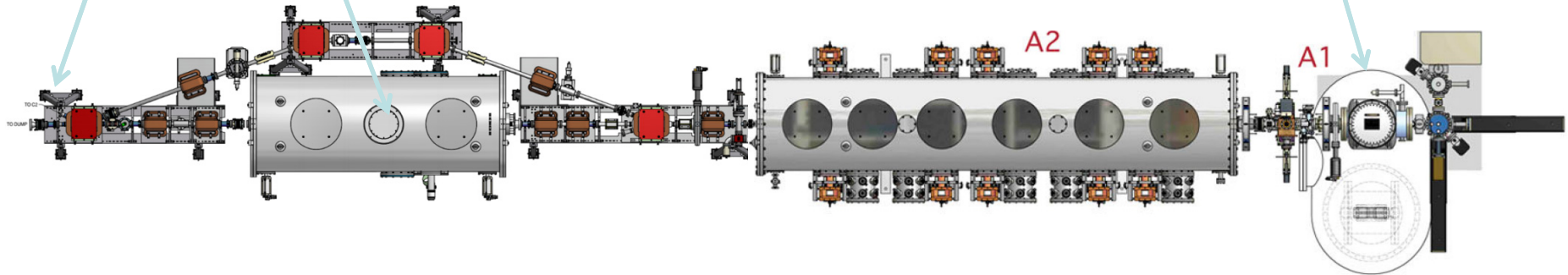


Data was taken December 2013, currently being analyzed...

# HTC High current tests with beam (40 mA)

R. Eichhorn IPAC14: THPRI111

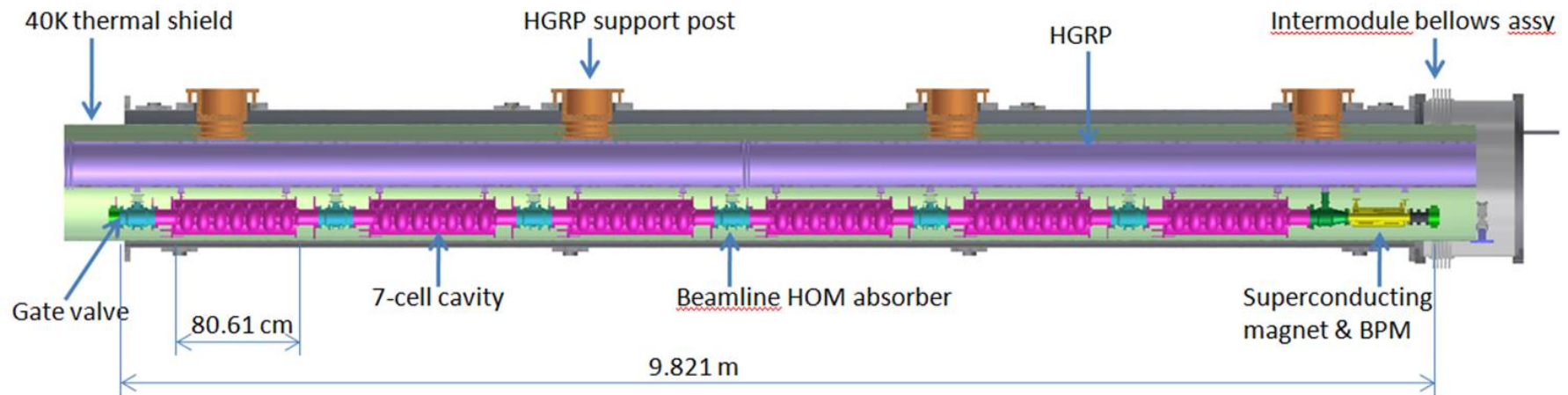
## BPM 7-cell cavity in cryostat



| Current,<br>bunch length | $\Delta T$ (beam pipe<br>behind Abs.)<br><u>coated/uncoated</u> | $\Delta T$ (80K<br>gas temp)<br>coated/uncoated | $\Delta T$ (80K<br>absorber temp)<br>coated/uncoated | $\Delta T$ (5K flange<br>next to cavity)<br><u>coated</u> | $\Delta T$ , beam pipe to<br>cavity<br><u>coated/uncoated</u> |
|--------------------------|-----------------------------------------------------------------|-------------------------------------------------|------------------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------|
| 25 mA, 3.0 ps            | 0.075/0.075                                                     | 1.14/0.82                                       | 1.02/0.975                                           | 0.007                                                     | 0.076/-0.005                                                  |
| 40 mA, 3.4 ps            | 0.2475/0.335                                                    | 2.95/2.16                                       | 2.72/2.53                                            | 0.021                                                     | 0.179/0.009                                                   |
| 40 mA, 2.7 ps            | 0.2975/0.425                                                    | 3.00/2.22                                       | 2.772/2.63                                           | 0.027                                                     | 0.203/0.014                                                   |

- No charge-up of the HOM ceramics observed
- HOM heating was less than expected

# Cornell ERL Main Linac Cryomodule (MLC) Prototype



- Completed fabrication and RF test of 6 main linac SRF cavities
  - Statistics of high  $Q_0$  cavity preparation
- Fabrication of full ERL main linac prototype cryomodule
  - Fabrication of input couplers, tuners, beamline HOM absorbers, cryomodule components...
  - January 2014: Started string assembly
  - June 2014: Start cold mass assembly
  - End 2014: completion

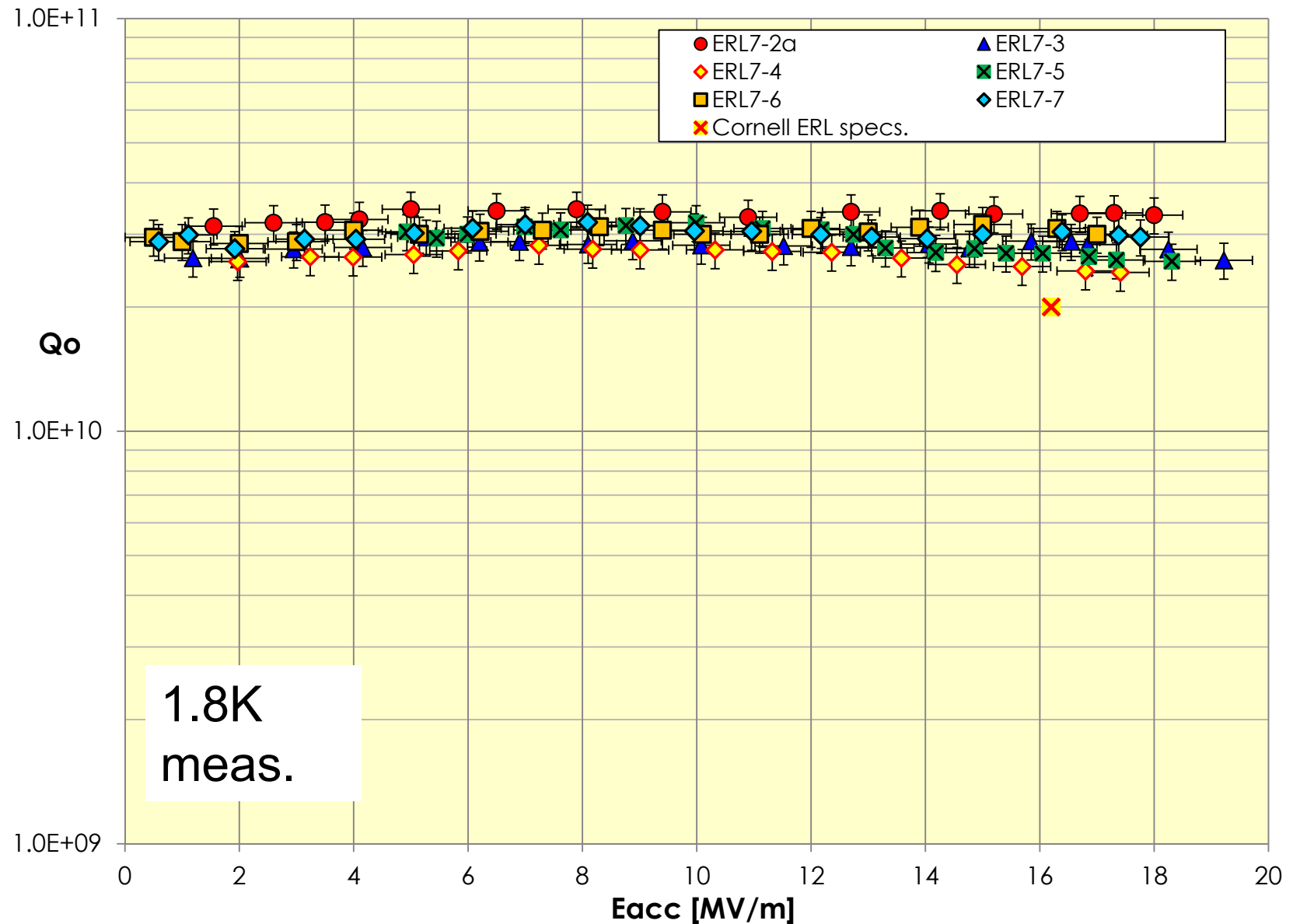
First high current ( $>100$  mA), CW SRF linac cryomodule worldwide!



# Main Linac Cryomodule (MLC) Prototype



# Cornell Main Cryomodule cavity tests (Vertical)





# Critical Components

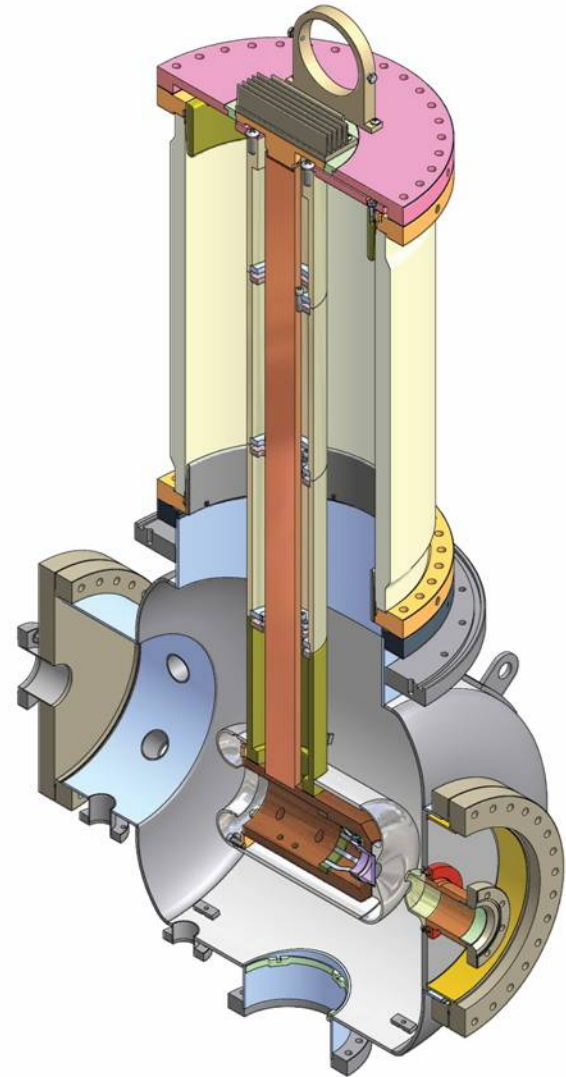
Source

Injector

Linac

**Beam Transport**

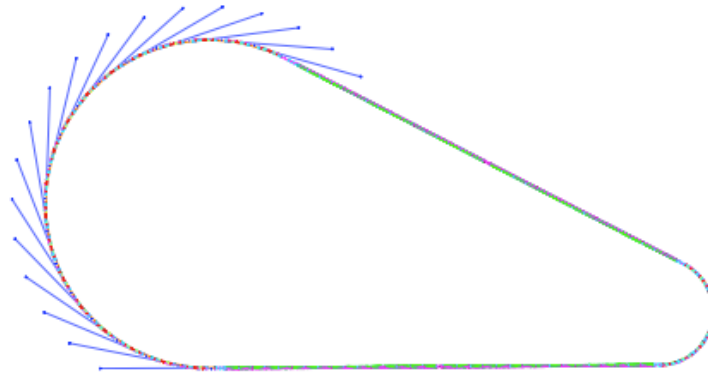
Insertion Devices



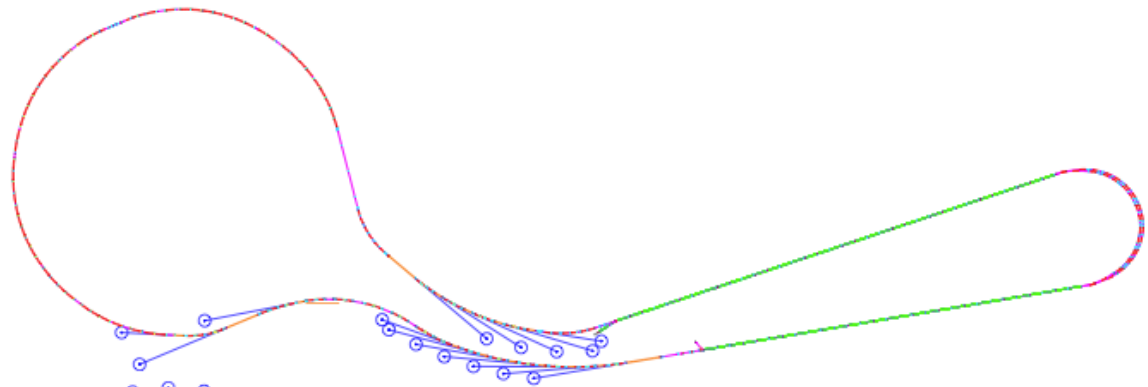
# Layouts

5 GeV , single pass ERLs

‘Minimal’

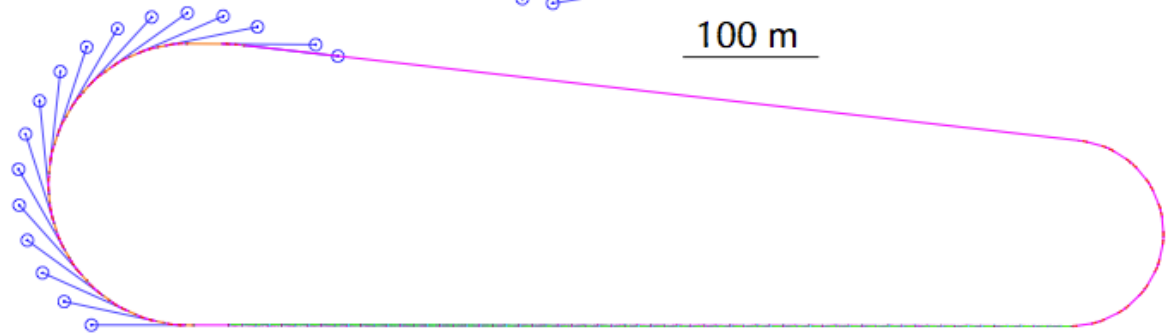


Cornell



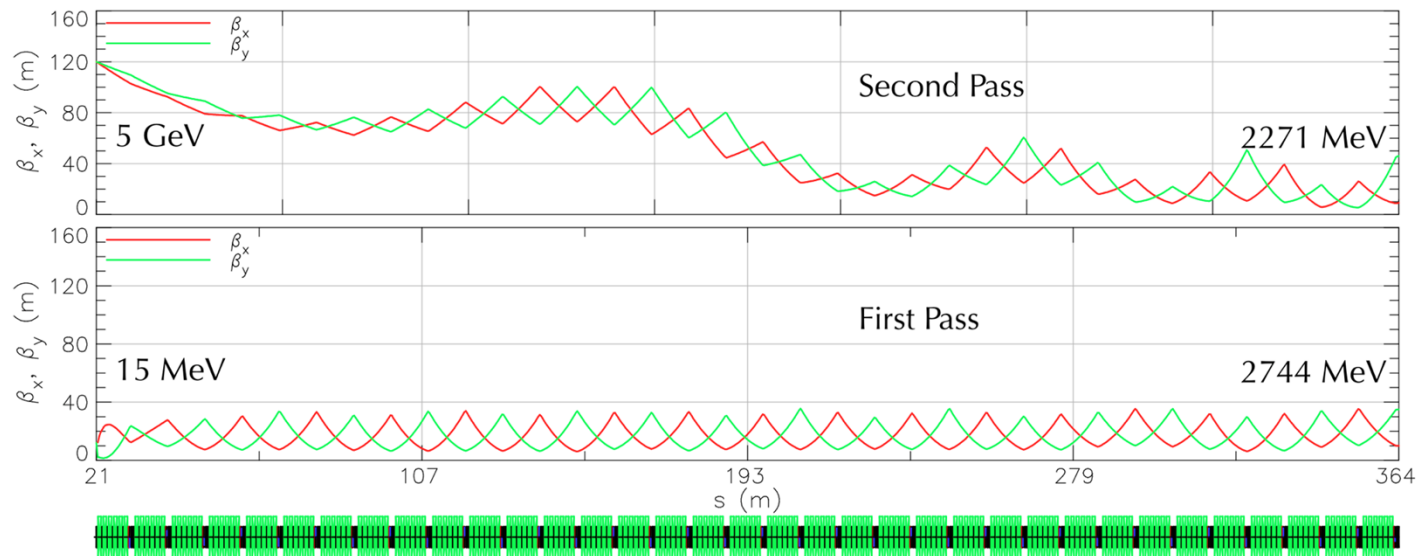
100 m

‘Straight’

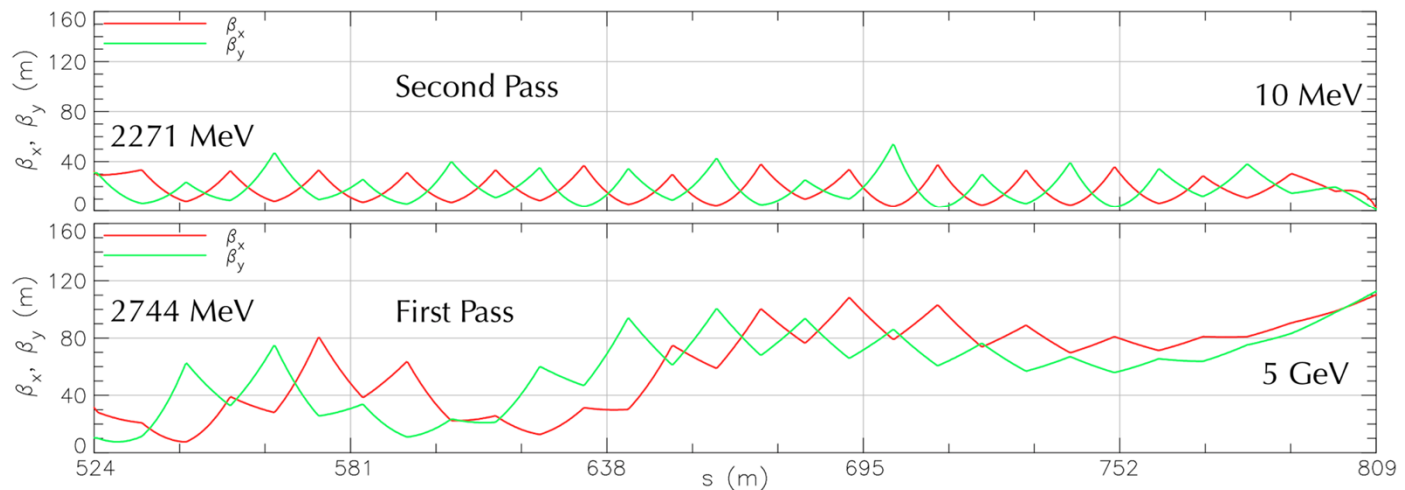


500 m

# Split Linac Optics

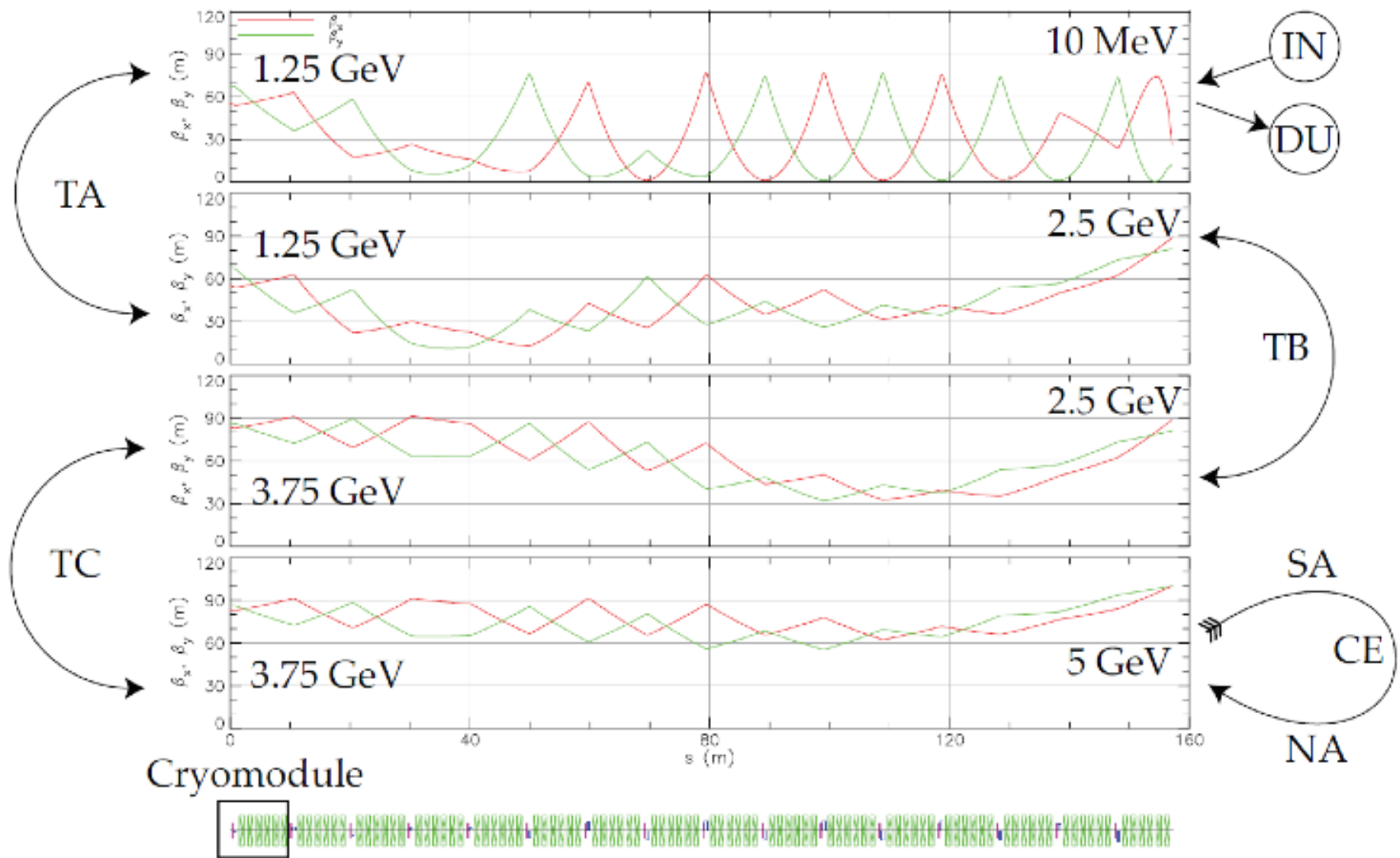


LA



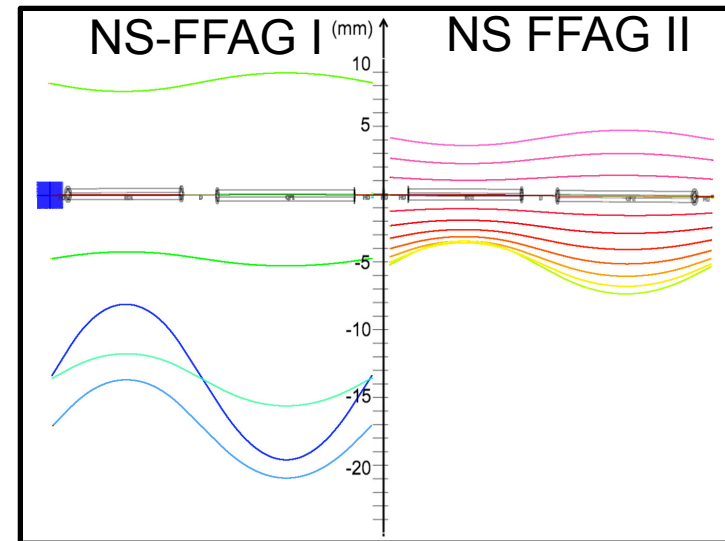
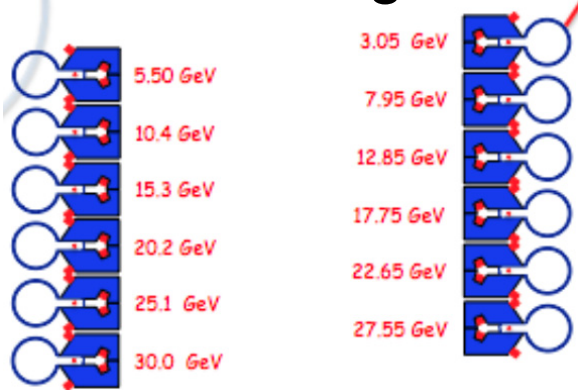
LB

# Four Pass Linac Optics



# Novel Arc design: eRHIC non-scaling FFAG

## Old design



12 MeV injection  
1.32 GeV linac, no quadrupoles

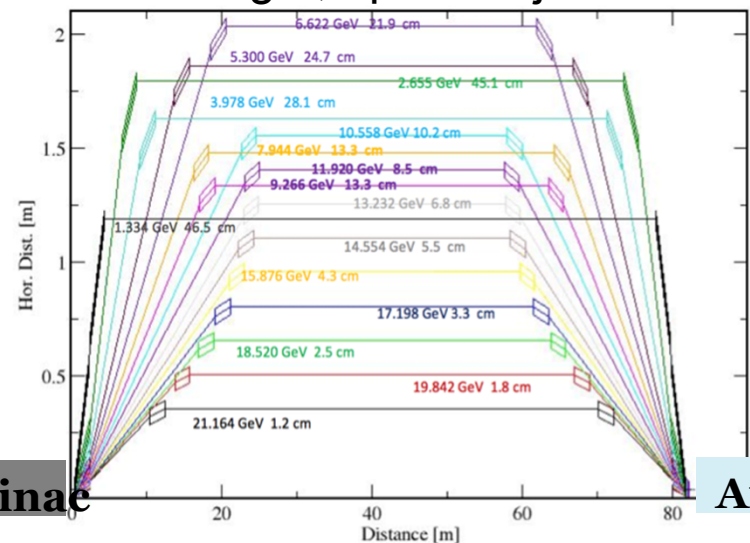
## NS-FFAG I

5 orbits for 1.3, 6.6 GeV

## NS-FFAG II

11 orbits for 7.9, ..., 21.2 GeV  
All kept within 10 mm

Separated for path length,  
time of flight, optics adjustment



Linac

Arcs



# Critical Components

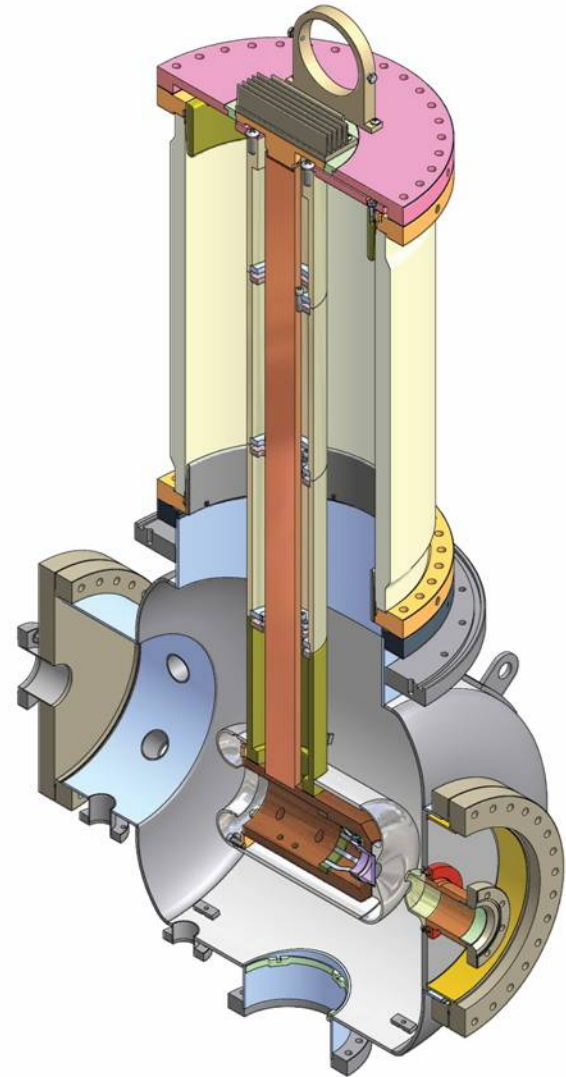
Source

Injector

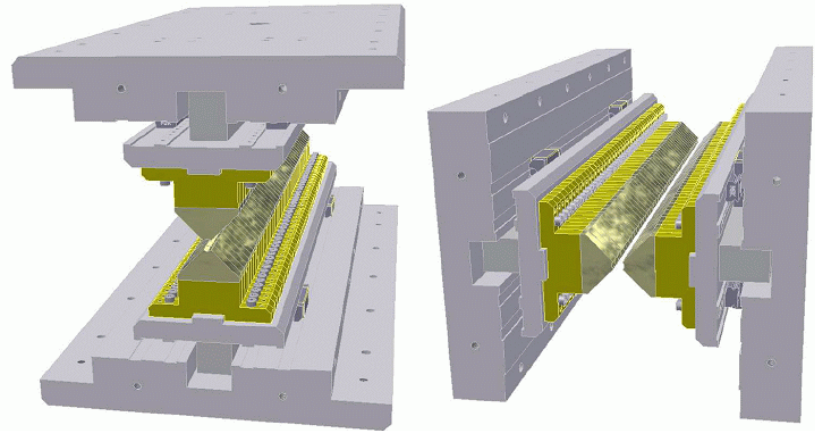
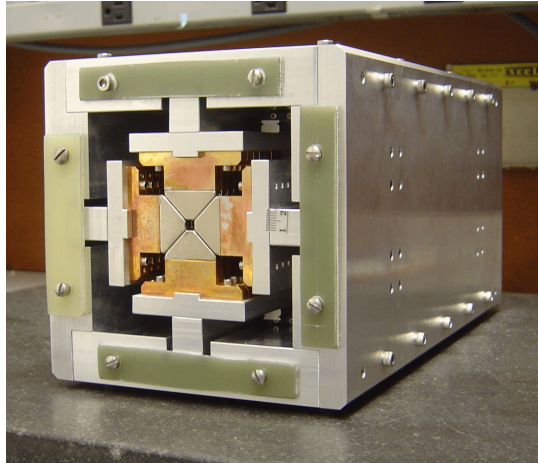
Linac

Beam Transport

**Insertion Devices**



# Delta Undulator



- Developed to take advantage of the ERL's round beams
- Full polarization control
- Strong fields (1.4 T)
- Very compact
- Tested ATF at BNL late 2009
- A planar version is to be used in CESR
- SLAC is building a 3.2 m version for LCLS

[A. Temnykh, PRST-AB 11, 120702 \(2008\)](#)

# **Existing ERL Facilities**

# UV/IR FEL (JLab)

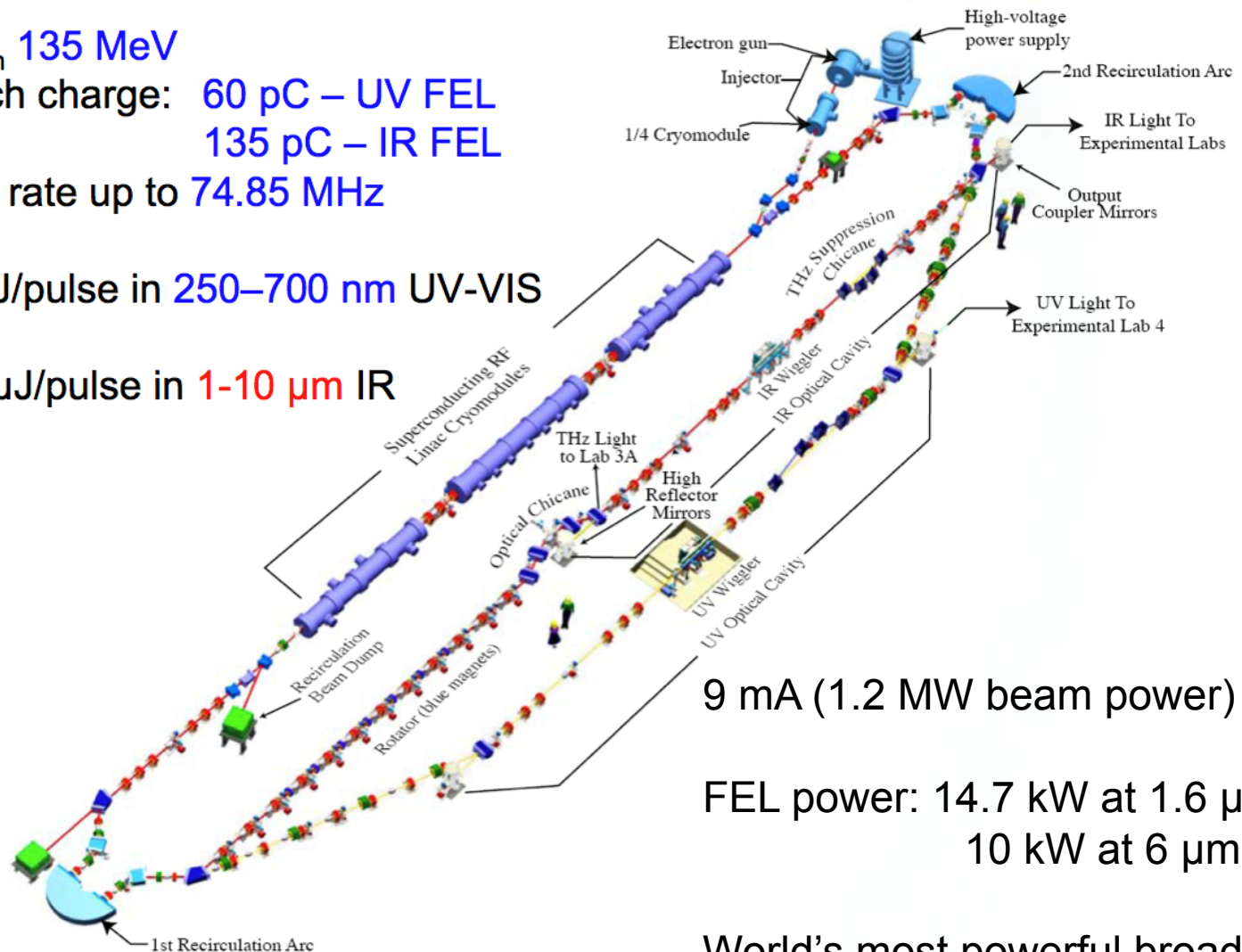
$E_{\text{beam}}$  135 MeV

Bunch charge: 60 pC – UV FEL  
135 pC – IR FEL

Rep. rate up to 74.85 MHz

25  $\mu\text{J}/\text{pulse}$  in 250–700 nm UV-VIS

120  $\mu\text{J}/\text{pulse}$  in 1–10  $\mu\text{m}$  IR



9 mA (1.2 MW beam power)

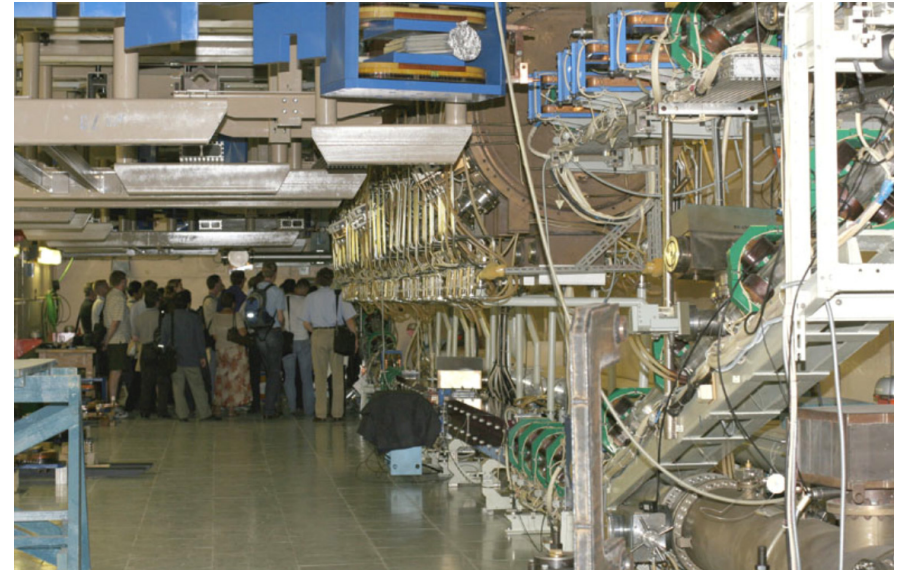
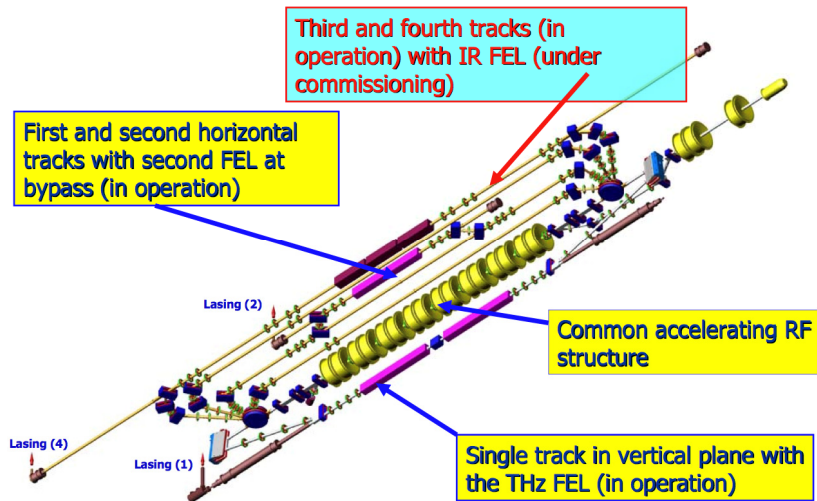
FEL power: 14.7 kW at 1.6  $\mu\text{m}$   
10 kW at 6  $\mu\text{m}$

World's most powerful broadband THz source, 100 W

[Neil et al., NIM-A 557 1 9–15 \(2006\)](#)

# Novosibirsk ERL-FEL

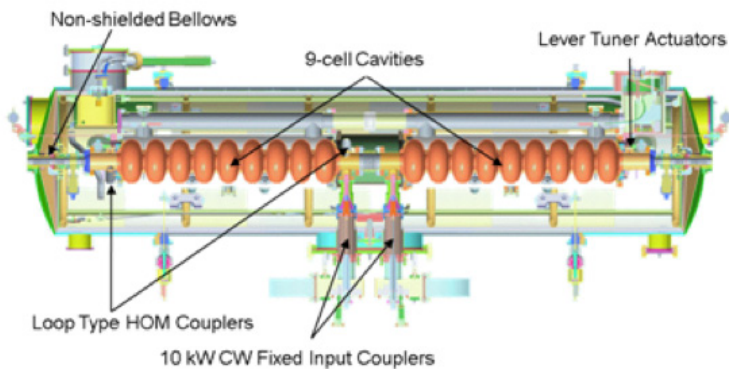
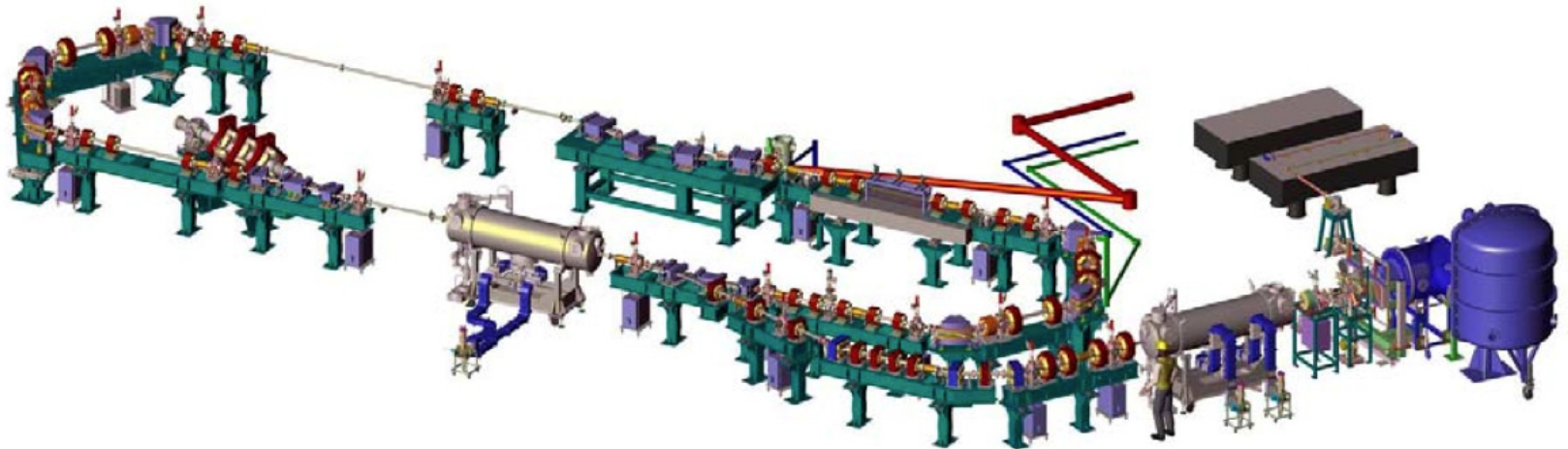
Novosibirsk ERL with 3 FELs (details in the talk of O. A. Shevchenko)



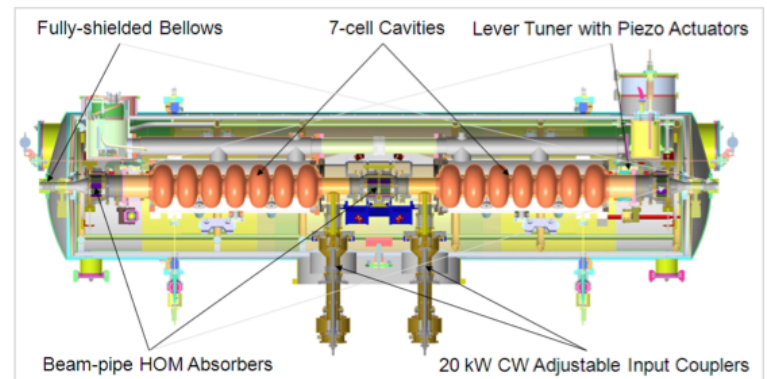
[NovoFEL ERL13 presentation](#)



# ALICE (Daresbury)



Existing Cryomodule on ALICE



CW-ERL Cryomodule

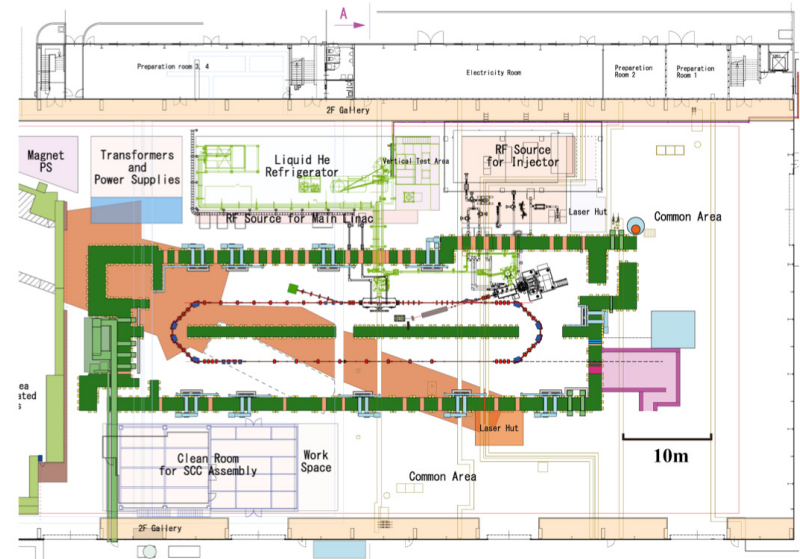
[Jones et al., AIP Conf. Proc. 1149, 1084 \(2009\)](#)

Christopher Mayes – June 20, 2014

# **New ERL Facilities**

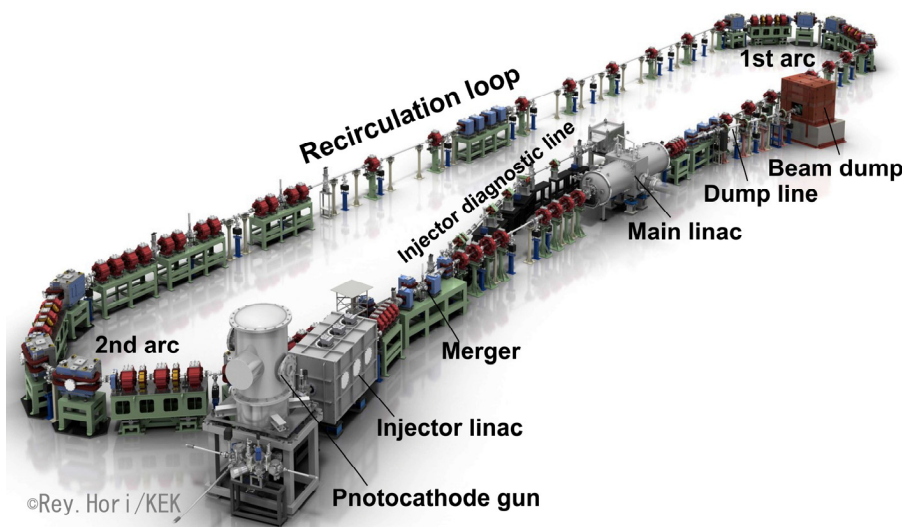
# Compact ERL (KEK)

|                      |                                                     |
|----------------------|-----------------------------------------------------|
| Beam energy          | 35 - 125 MeV (single loop)<br>245 MeV (double loop) |
| Injection energy     | 5 MeV                                               |
| Average current      | 10 mA (100 mA in future)                            |
| Acc. gradient        | 15 MV/m                                             |
| Normalized emittance | 0.1 mm·mrad (7.7 pC)<br>1 mm·mrad (77 pC)           |
| Bunch length         | 1 - 3 ps<br>100 fs (with bunch compression)         |
| RF frequency         | 1.3 GHz                                             |



Nakamura IPAC12 - TUXB02

# Compact ERL (KEK)



## Purpose

- Demonstrate the generation and recirculation of ultra-low emittance beams
- Demonstrate reliable operations of ERL components (photocathode gun, SC cavities, ...)
- Initial goal: 1 mm·mrad @7.7pC/bunch (10 mA with improved localized and dump shielding)

## Achievements

Commissioning of the entire cERL was started in Dec. 16, 2013.

So far achieved:

acceleration of beams up to 20 MeV

beam recirculation and energy recovery without significant beam loss

maximum current of 6.5  $\mu$ A in CW operation

Optics tuning and beam quality improvement are in progress.

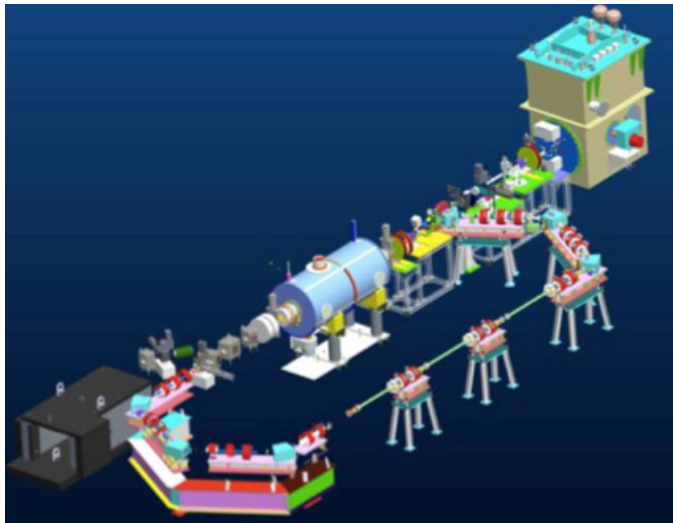
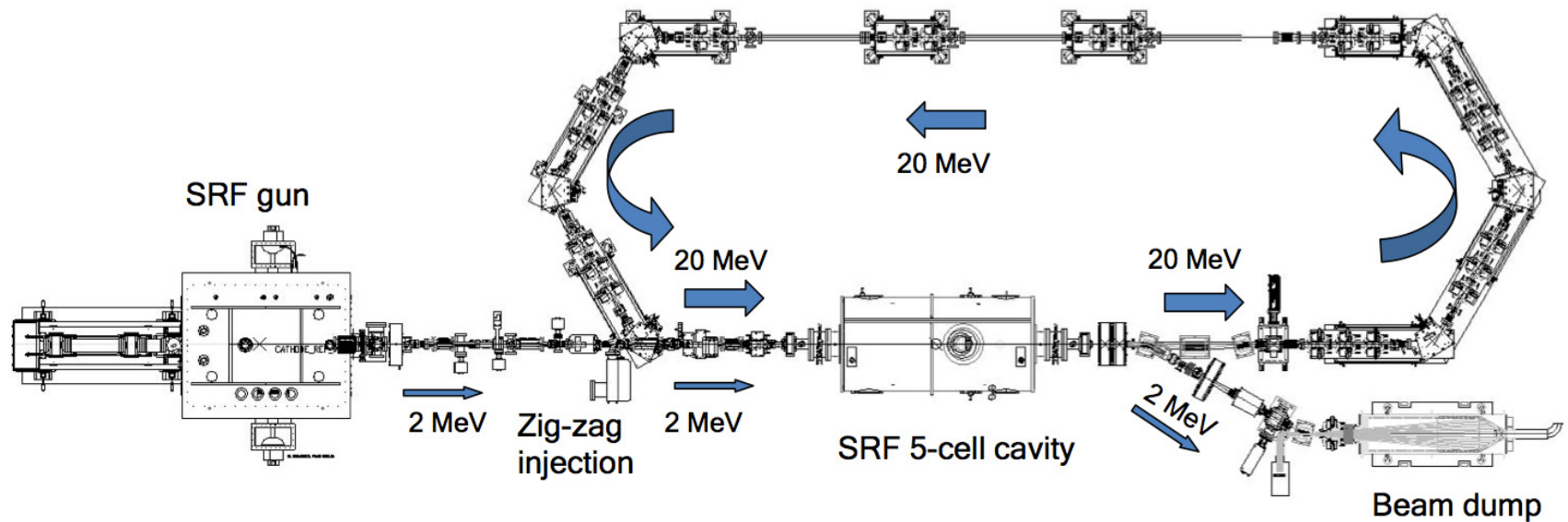
## Future developments

Generation of Laser Compton Scattering (LCS) X-rays is scheduled at the end of FY2014.

Generation of THz coherent radiation is planned in FY2015. [Source: courtesy of N. Nakamura]



# BNL Test ERL



✓ The main goal of BNL R&D ERL project is to serve as a test-bed to demonstrate the main electron beam parameters for future RHIC upgrade projects:

- ERL-based coherent electron cooling;
- 10-to-20 GeV ERL for lepton-ion collider eRHIC.

✓ Test the key components of a high current ERL based solely on SRF technology

- SRF photoinjector test with 300 mA: preservation of high-charge, low emittance beam;
- High current 5-cell SRF linac test with HOM absorbers: single turn, 300 mA;
- Stability criteria for CW beam current;
- Attainable ranges of electron beam parameters in an SRF ERL.

9/10/13

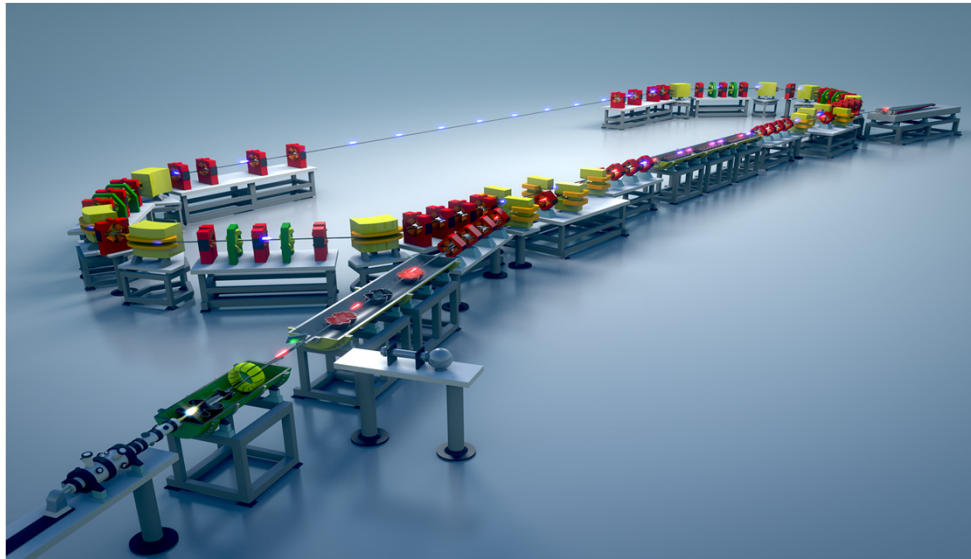
Wencan Xu: SRF system for BNL's R&D ERL

5

ERL13 Presentation



# bERLinPro - Helmholtz Zentrum Berlin (HZB)



| Parameter              | Value       | Unit    |
|------------------------|-------------|---------|
| Beam energy            | 50          | MeV     |
| Beam current @ 1.3 GHz | 100         | mA      |
| Bunch charge           | 77          | pC      |
| Bunch length           | < 2         | ps      |
| Energy spread          | 0.5%        | -       |
| Emittance              | < 1         | mm mrad |
| Beam loss              | < $10^{-5}$ | -       |

## Operational

Cathode prep system

## Fabricated

SRF gun

SRF booster cavities (in fabrication)

## Ordered

All magnets

Gun cryomodule parts (some delivered)

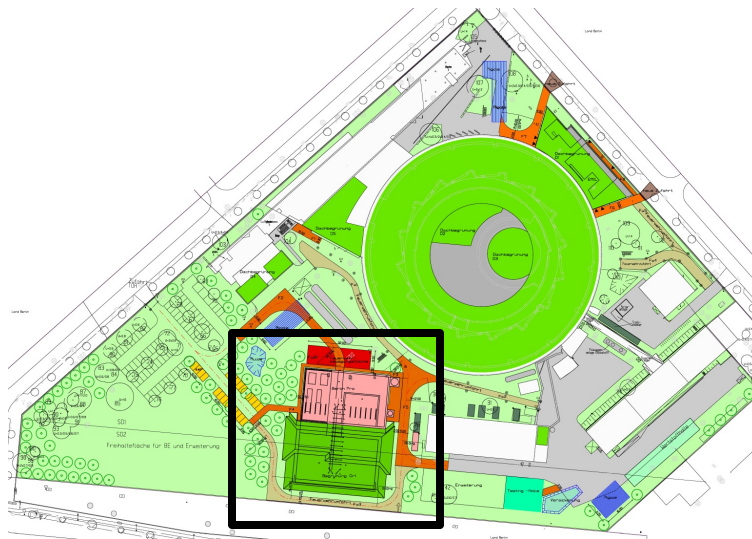
Klystrons and SS amplifiers

Beam stop

## Designed

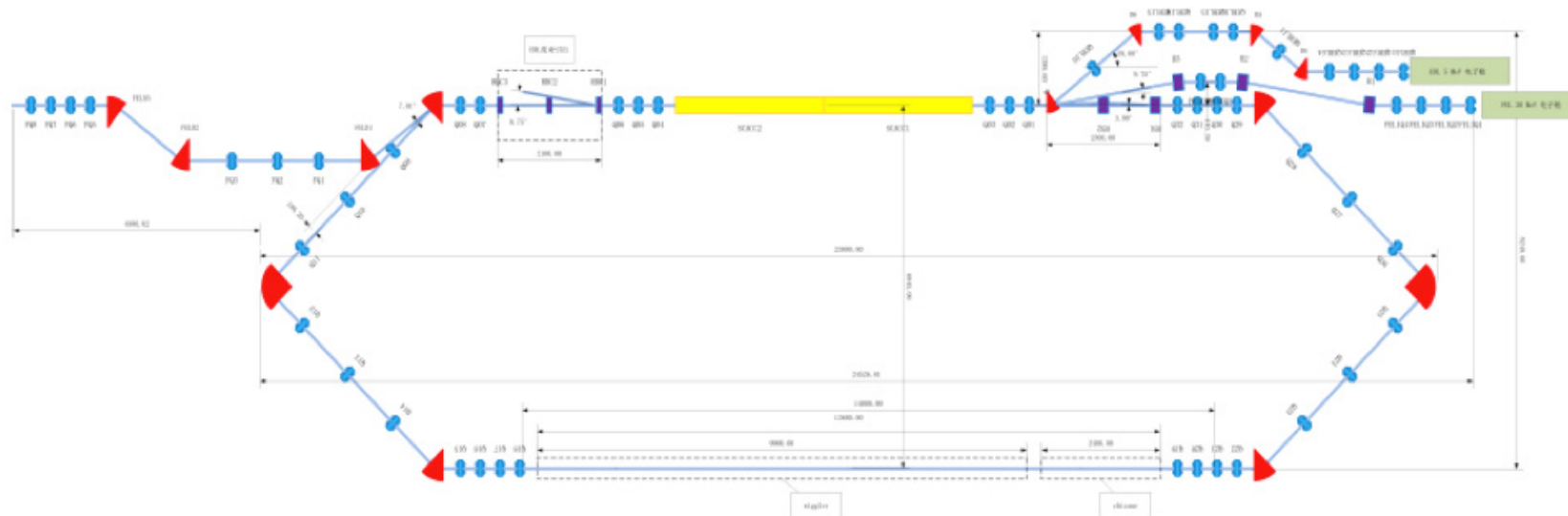
Vacuum components

Linac (being designed)



Groundbreaking late 2014

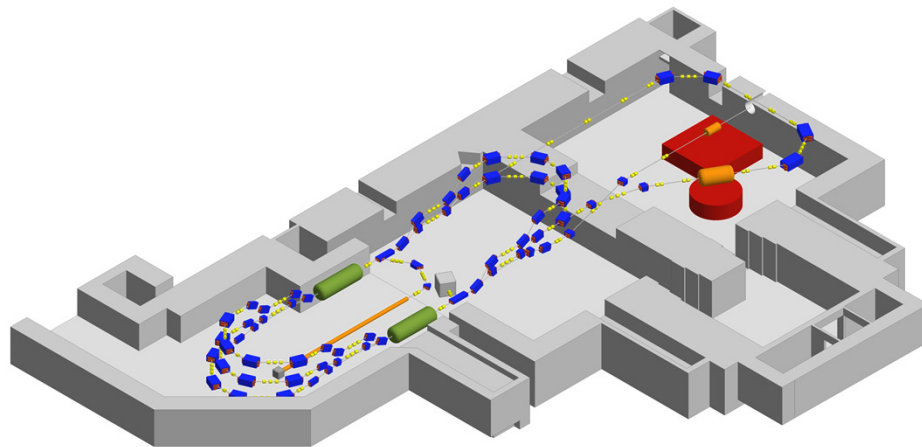
# IHEP ERL Test Facility (Beijing)



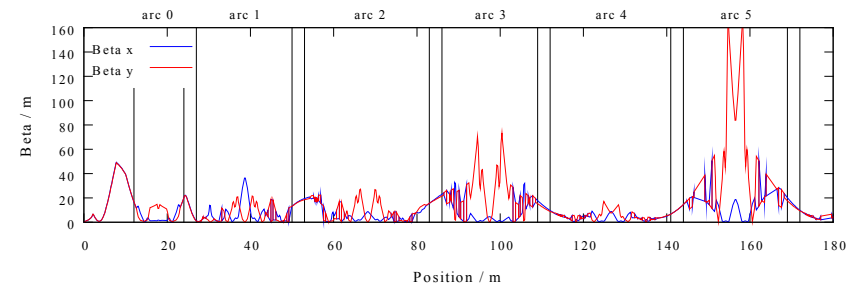
| Parameter                      | Value         |
|--------------------------------|---------------|
| Beam energy (MeV)              | 35            |
| Beam current (mA)              | 10            |
| Bunch charge (pC)              | 77 (or 7.7)   |
| Normalized emittance (mm.mrad) | 1.0-2.0       |
| Rms bunch length (ps)          | 2.0-4.0       |
| Rms energy spread (%)          | 0.2-1.0       |
| Bunch frequency (MHz)          | 130 (or 1300) |
| RF frequency (MHz)             | 1300          |

Yi and Ou-Zheng - Beam dynamics studies of the photo-injector in low-charge operation mode for the ERL test facility at IHEP

<http://arxiv.org/abs/1308.0383>



| Parameter                | stage-1 (EB/ERL) | stage-2 (EB/ERL) |
|--------------------------|------------------|------------------|
| Beam energy, MeV         | 155/105          | 205/105          |
| Bunch charge, pC         | 0.15/0.77        | 0.15/7.7         |
| norm. emittance, $\mu m$ | 0.1 / <1         | 0.2 / <1         |
| Beam polarization, %     | >0.85/n.a.       | >0.85/n.a.       |
| Recirculations           | 2                | 3                |
| Beam power at exp., kW   | 22.5/100         | 31/1000          |

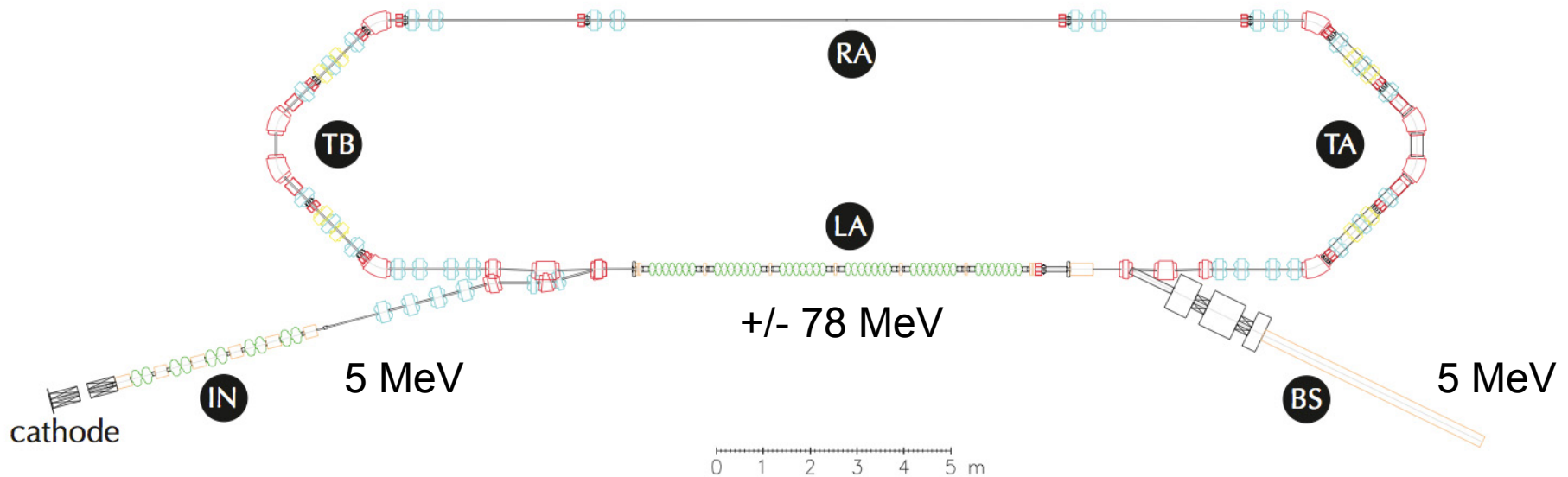


- one normal conducting injector linac with an extraction energy of 5 MeV
- two superconducting linac modules with an energy gain of 25 MeV each
- four spreader sections for vertically separating and recombining the beam
- five 180° arcs for the beam recirculation
- two chicanes for the injection and extraction of the 5 MeV beam
- one 180° bypass arc for energy recovery mode incorporating the internal experiment
- one beam line to the external experiment

Aiming for beam in 2017

[K. Aulenbacher, AIP Conf. Proc. 1563, 5-12 (2013) ]

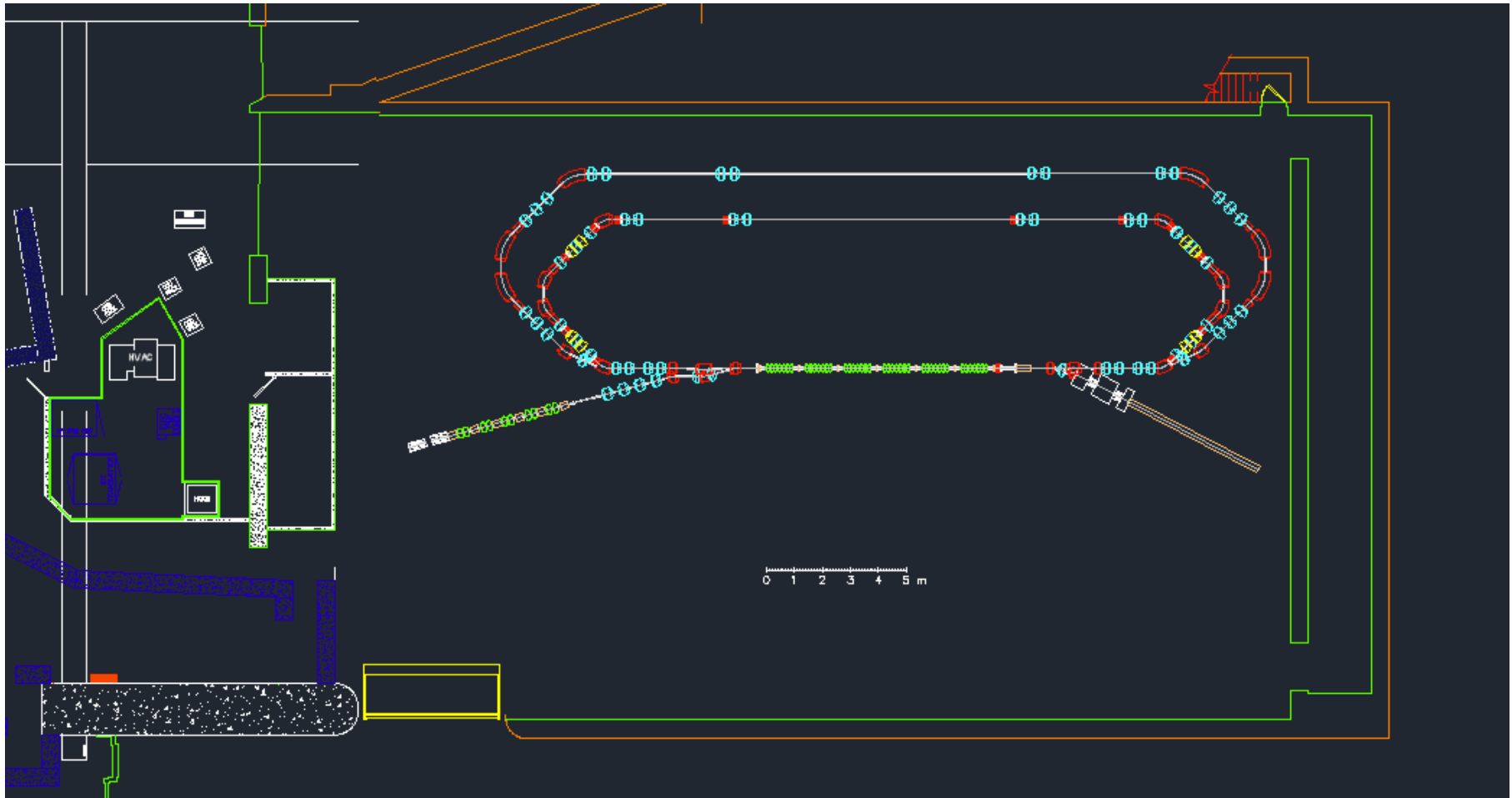
# Cornell High-power recirculation loop (preliminary design)



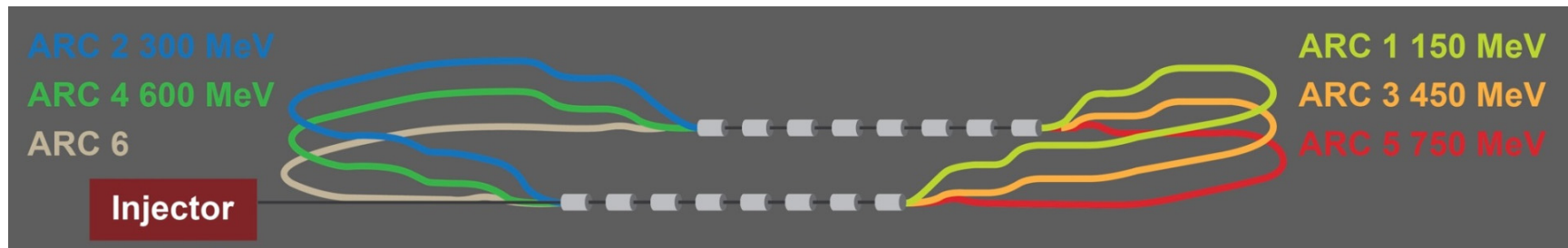
| Energy         | 83  | MeV     |
|----------------|-----|---------|
| Current        | 100 | mA      |
| Emittance x, y | 0.3 | mm-mrad |
| Frequency      | 1.3 | GHz     |
| Bunch charge   | 77  | pC      |

# Cornell High-power recirculation loop

## CESR's L0E hall







| Parameter                    | Value                     |
|------------------------------|---------------------------|
| injection energy             | 5 MeV                     |
| RF $f$                       | 801.59 MHz                |
| acc. voltage per cavity      | 18.7 MV                   |
| # cells per cavity           | 5                         |
| cavity length                | $\approx 1.2$ m           |
| # cavities per cryomodule    | 4                         |
| RF power per cryomodule      | $\leq 50$ kW              |
| # cryomodules                | 4 *)                      |
| acceleration per pass        | 299.4 MeV *)              |
| bunch repetition $f$         | 40.079 MHz                |
| Normalized emittance         | 50 $\mu$ m                |
| injected beam current        | <13 mA                    |
| nominal bunch charge         | 320 pC = $2 \cdot 10^9$ e |
| number of passes *)          | 2      3                  |
| top energy *)                | 604 MeV      903 MeV      |
| total circulating current *) | 52 mA      78 mA          |
| duty factor                  | CW                        |

## CERN Mandate: 5 main points



The mandate for the technology development **includes studies and prototyping of the following key technical components:**

- **Superconducting RF** system for CW operation in an Energy Recovery Linac (high  $Q_0$  for efficient energy recovery) S
- **Superconducting magnet development** of the insertion regions of the LHeC with three beams. The studies require the design and construction of short magnet models
- Studies related to the **experimental beam pipes** with large beam acceptance in a high synchrotron radiation environment
- **The design and specification of an ERL test facility** for the LHeC.
- **The finalization of the ERL design for the LHeC** including a finalization of the optics design, beam dynamics studies and identification of potential performance limitations

The above technological developments require close collaboration between the relevant technical groups at CERN and external collaborators.

Given the rather tight personnel resource conditions at CERN **the above studies should exploit where possible synergies with existing CERN studies.**

# 2009 BES Report

*In addition to the demonstration of energy recovery on a quantitative scale, the development of an X-ray ERL, as well as the realization of FEL designs with megahertz pulse rates, is also hindered by the lack of technical developments as far as gun performance is concerned. **Today's guns cannot yet deliver the bunch charges, emittances, and repetition rates required for the full ERL or FEL designs outlined above.** Guns capable of delivering a few mA average current are available, but for an ERL to deliver a flux comparable to a storage ring, the average current has to be on the order of 100 mA. However, both these technological goals are quite well defined and within range. **Once these are achieved, there will be a very bright future for accelerator-based X-ray sources.***

[BES Subcommittee report - Next Generation Photon Sources - May 2009](#)

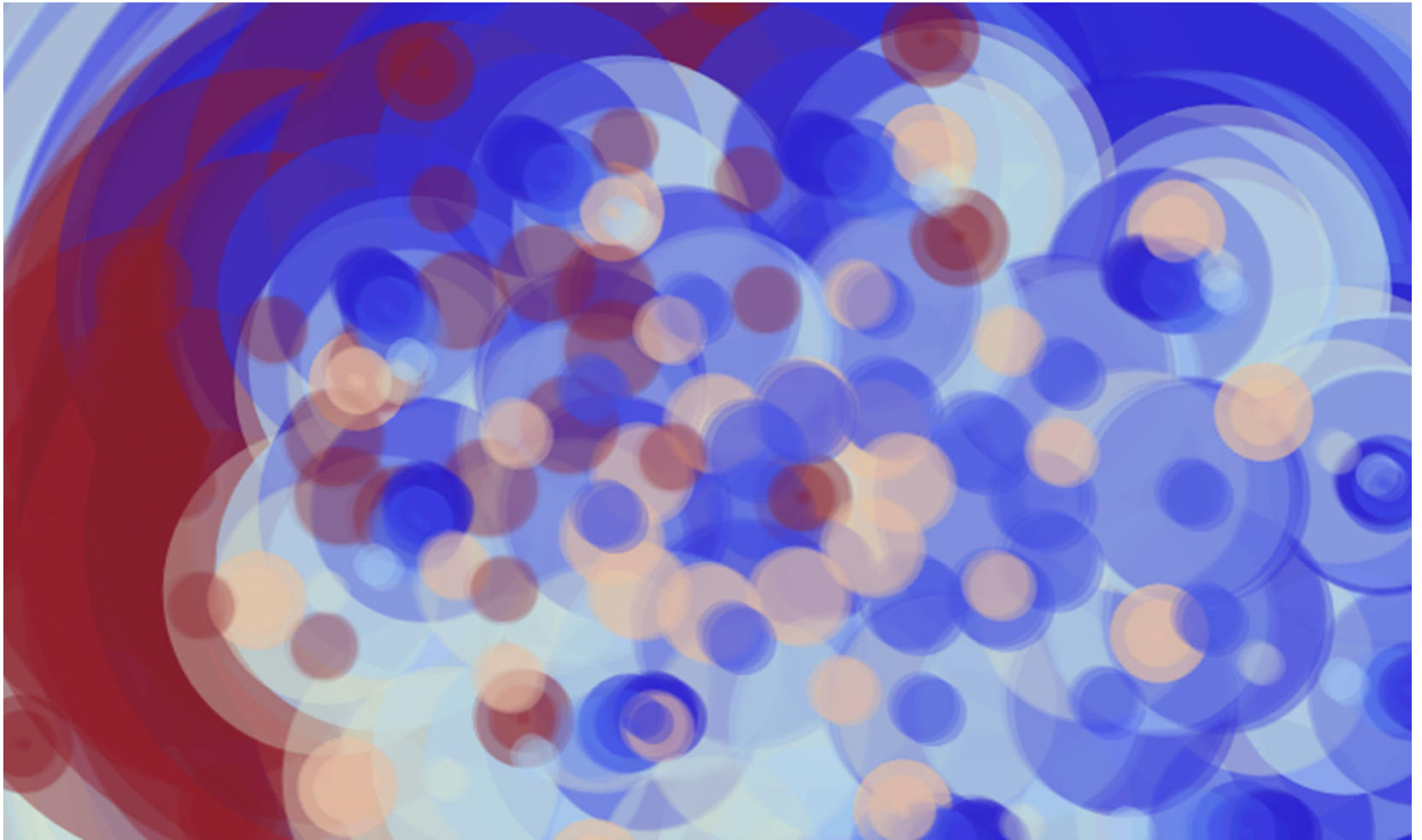
# ERL Technology Readiness

## **Shovel Ready**

Key ERL challenges have been overcome

- Photocathode lifetime
- High current
- Low emittance
- Emittance preservation through merger between photoinjector and linac
- High  $Q_0$  superconducting RF accelerating structures

**Large-scale ERL construction could begin soon**



End